Bridges between lattice models, representation theory and number theory

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New connections in number theory and physics
Isaac Newton Institute for Mathematical Sciences
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Slides available at https://hgustafsson.se
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Papers

Joint work with Ben Brubaker, Valentin Buciumas and Daniel Bump

- O. Vertex operators, solvable lattice models and metaplectic Whittaker functions Communications in Mathematical Physics 380 (Dec, 2020), 535–579
- 1. Colored five-vertex models and Demazure atoms Journal of Combinatorial Theory, Series A 178 (Feb, 2021)
- Colored vertex models and Iwahori Whittaker functions arXiv:1906.04140
- 3. Metaplectic Iwahori Whittaker functions and supersymmetric lattice models arXiv:2012.15778
- 4. Iwahori-metaplectic duality (recently updated) arXiv:2112.14670

Include both pure representation theoretical and lattice model results

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Include both pure representation theoretical and lattice model results focus today

Origin: study p-adic Whittaker functions using lattice models.

Construct first toy lattice model describing Schur polynomials.

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- Surprisingly effective at describing these representation theoretical objects: bijection of data, highly constrained by solvability conditions.
- Generator of ideas and conjectures.

Schur polynomials

Construct lattice model describing Schur polynomials – also special functions in representation theory which will get us "half-way" there.

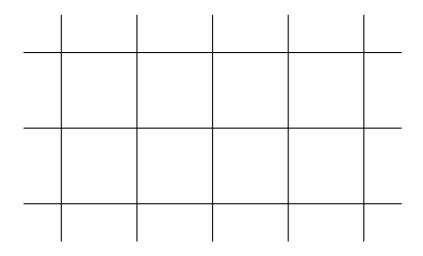
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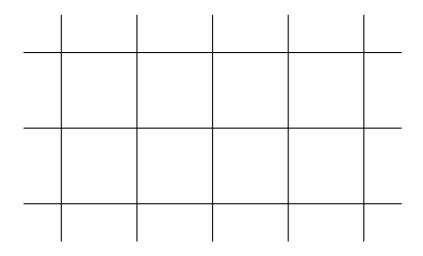


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We will assign data to these edges according to certain rules, and in this first example the data is binary: the edge is **filled in**, or not.

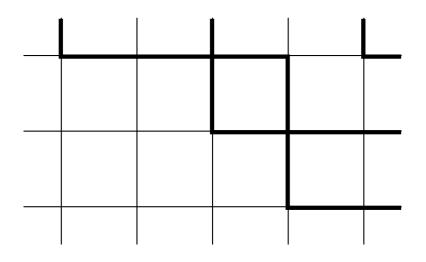


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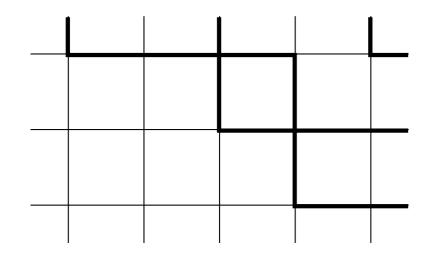
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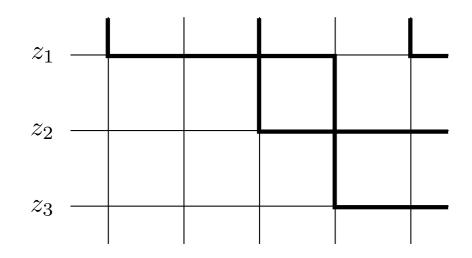


These edges will form paths on the grid, and for given boundary conditions there is a finite number of configurations called states.



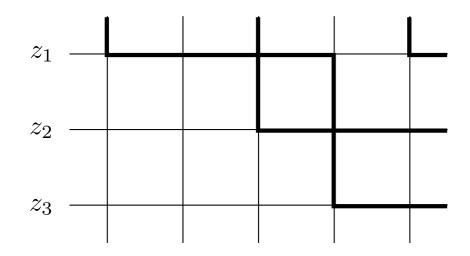
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A state \mathfrak{s} is assigned a Boltzmann weight $\beta(\mathfrak{s}) \in \mathbb{C}[\mathbf{z}]$ depending on parameters $\mathbf{z} = (z_1, z_2, \dots, z_r) \in \mathbb{C}^r$ (one for each row).



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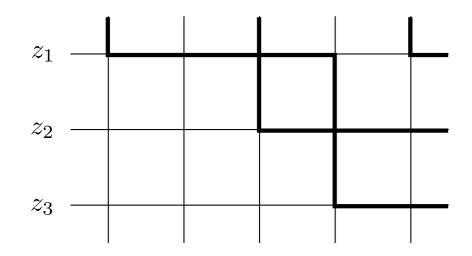


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The partition function, given som fixed boundary conditions:

$$Z:=\sum_{\substack{\text{state }\mathfrak{s}\\\text{with given b.c.}}}\beta(\mathfrak{s})$$



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Goal: any Schur polynomial in z =such a partition function.

Schur polynomials

Let $\lambda = (\lambda_1, \dots \lambda_r)$ be a partition of r padded with zeroes to length r. We define the Schur polynomial $s_{\lambda} : \mathbb{C}^r \to \mathbb{C}$ by

$$s_{\lambda}(\mathbf{z}) = \frac{\det(z_i^{(\lambda+\rho)_j})_{ij}}{\det(z_i^{\rho_j})_{ij}}$$

where $\mathbf{z} = (z_1, \dots, z_r)$ and $\rho = (r - 1, r - 2, \dots, 1, 0)$.

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Combinatorial description using Semi-Standard Young Tableaux of shape λ

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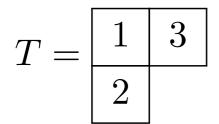
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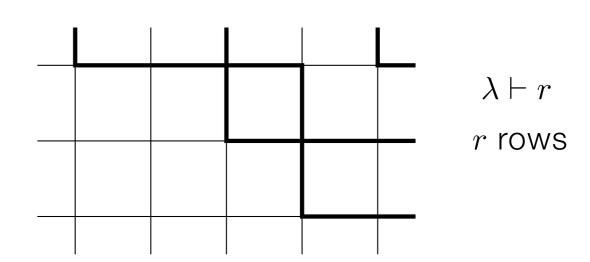
$$\lambda = (3,1,1) \qquad \text{SSYT}(\lambda) \ni T = \boxed{ 2 \\ 5 } \qquad \text{wt}(T) = (2,2,0,0,1)$$

 $SSYT \stackrel{\sim}{\longleftrightarrow}$ south-east moving lattice paths (certain)

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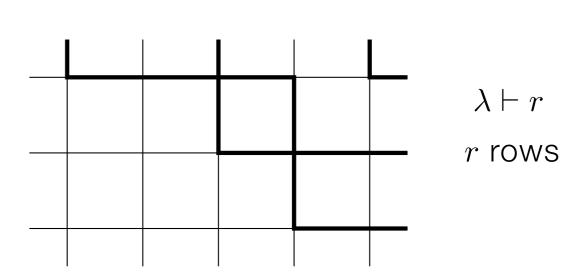


1

0

SSYT ←→ south-east moving lattice paths (certain)

$$T = \boxed{\begin{array}{|c|c|c|}\hline 1 & 3 \\ \hline 2 & \end{array}}$$



0

Let $\lambda^{(i)}(T) \in \mathbb{Z}^i$ be the shape of T after removing labels larger than i

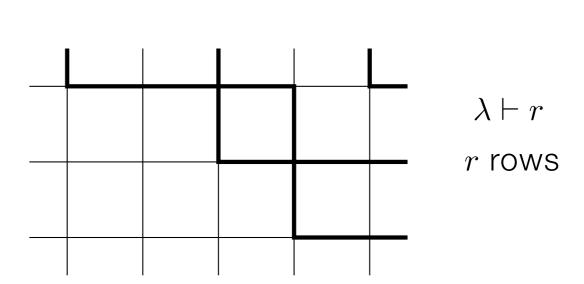
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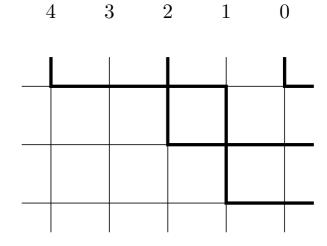
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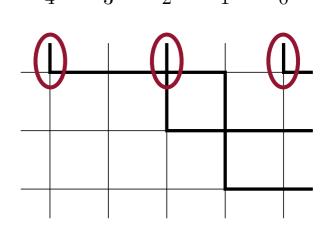
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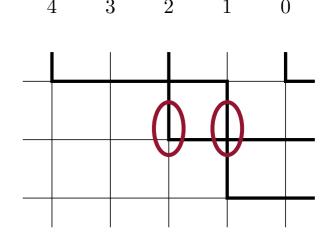
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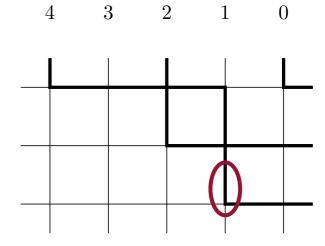
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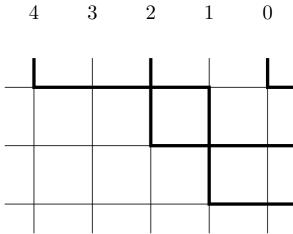
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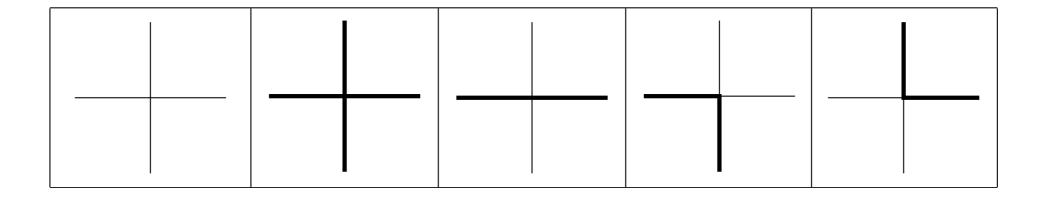
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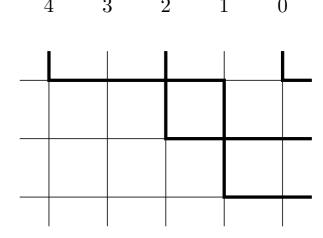




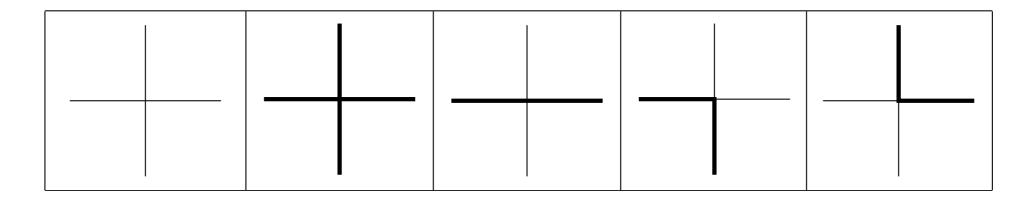
Five different vertex configurations:



$$T = \begin{bmatrix} 1 & 3 \\ 2 & 3 \end{bmatrix}$$

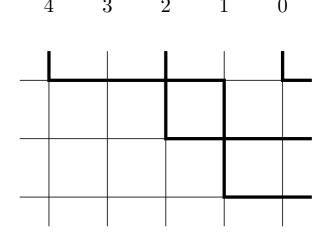


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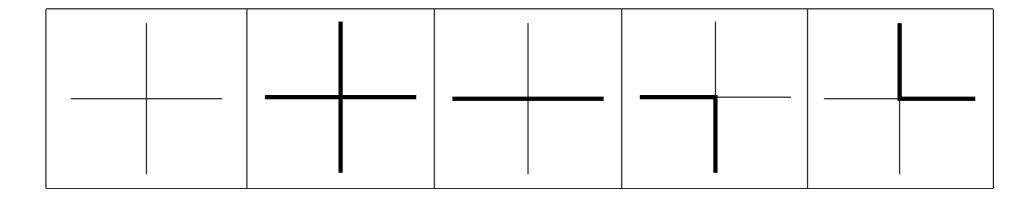


 $\mathrm{SSYT} \longleftrightarrow$ lattice paths using these vertex configurations shape λ filled in top boundary edges at columns $\lambda + \rho$

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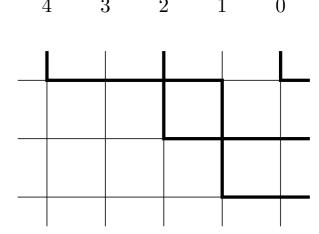


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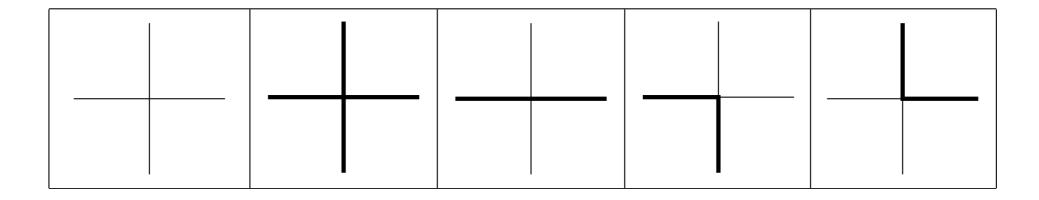
Goal: capture $\mathbf{z}^{\text{wt}(T)}$ using lattice model data

$$s_{\lambda}(\mathbf{z}) = \sum_{T \in SSYT(\lambda)} \mathbf{z}^{\text{wt}(T)}$$

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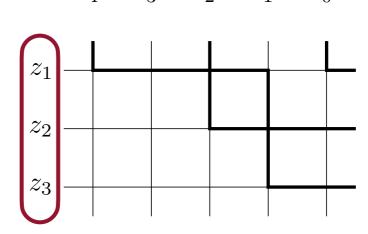
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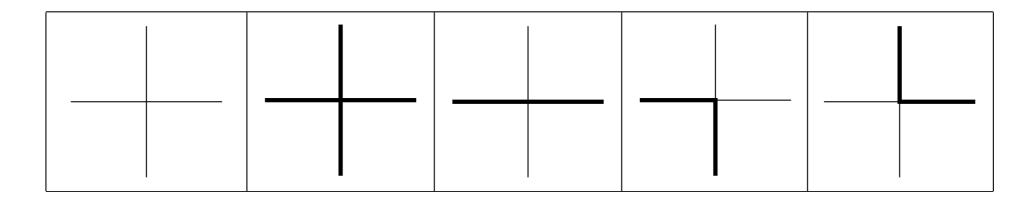
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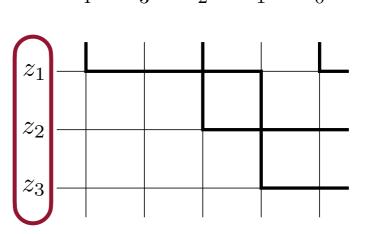
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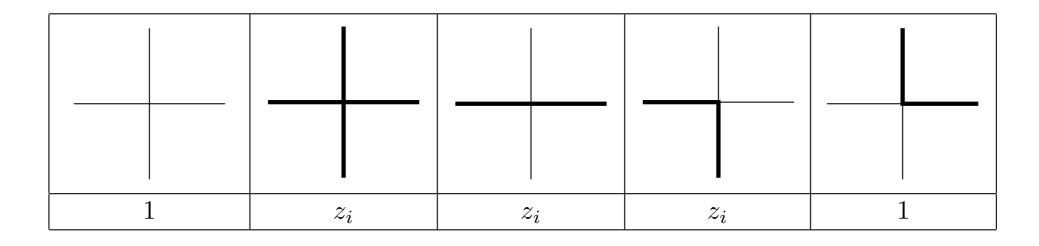
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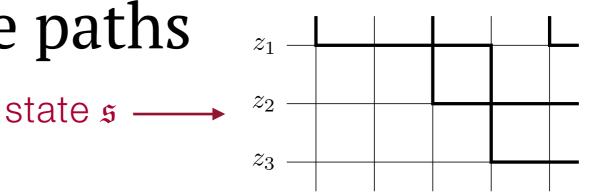
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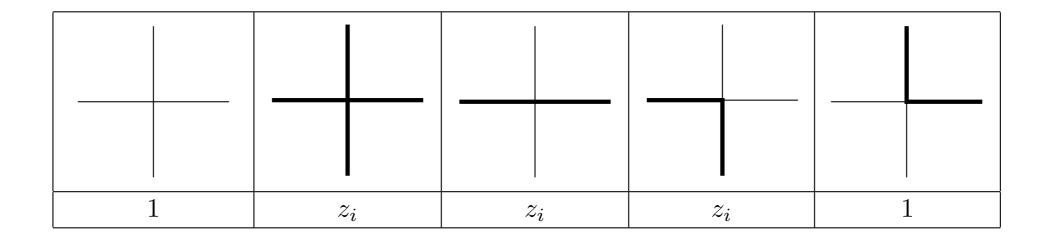
Introduce row parameters $z_1, \ldots, z_r \in \mathbb{C}$ and vertex weights at row i

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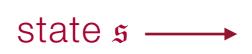


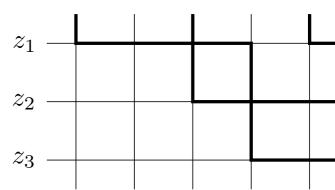
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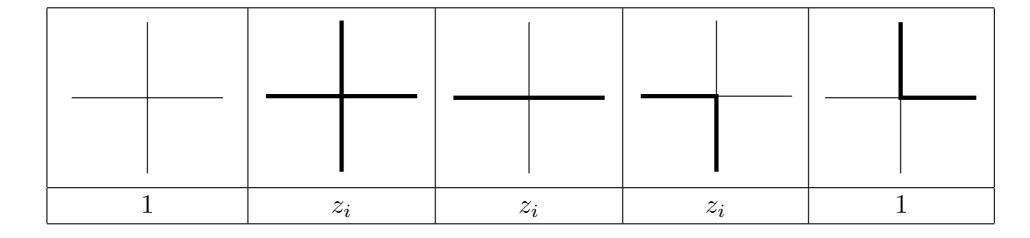
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$$\beta(\mathfrak{s}) = z_1^3 z_2^2 z_3$$



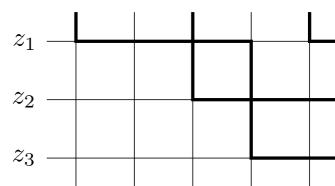
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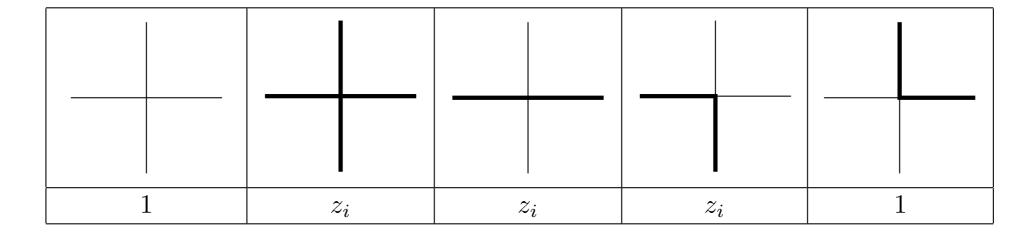
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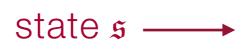
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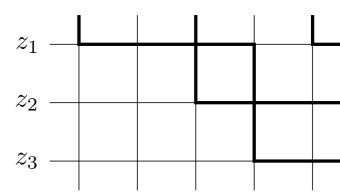
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Boltzmann weight
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$$w_0(z_1, z_2, \dots, z_r) = (z_r, \dots, z_2, z_1)$$

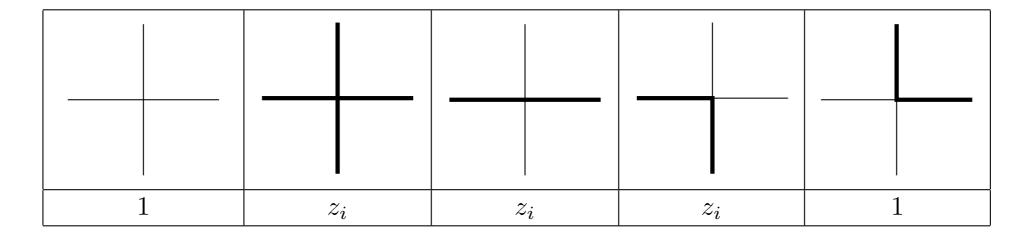
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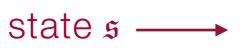
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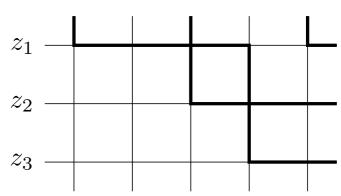
$$s_{\lambda}(\mathbf{z}) = \sum_{T \in SSYT(\lambda)} \mathbf{z}^{\text{wt}(T)}$$

Boltzmann weight $\beta(\mathfrak{s}) := \prod_{\text{vertex}} \text{vertex weights } = \mathbf{z}^{\rho} \cdot (w_0 \mathbf{z})^{\text{wt}(T)}$ $\frac{w_0(z_1, z_2, \dots, z_r) = (z_r, \dots, z_2, z_1)}{v_0(z_1, z_2, \dots, z_r)}$

Partition function
$$Z(\lambda, \mathbf{z}) := \sum_{\mathfrak{s} \text{ with top } \lambda + \rho} \beta(\mathfrak{s})$$

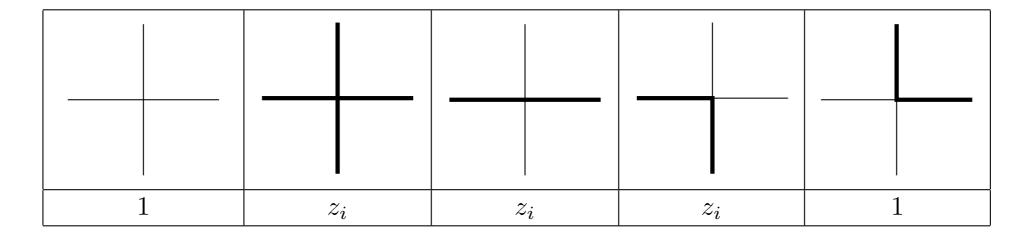
$$T = \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array}$$





Five different vertex configurations:

$$\beta(\mathfrak{s}) = z_1^3 z_2^2 z_3$$



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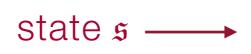
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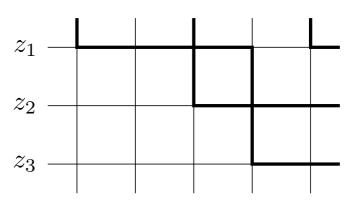
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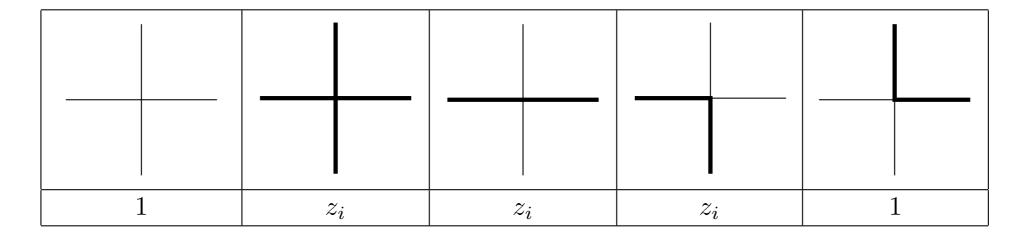
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Usefulness of lattice model

5-vertex 6-vertex

$$\sum_{\lambda} s_{\lambda}(\mathbf{x}) s_{\hat{\lambda}'}(\mathbf{y}) = \prod_{i=1}^{n} \prod_{j=1}^{m} (x_i + y_j)$$
 [Macdonald 1992 (0.11')]

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 $\hat{\lambda} = \text{complement of } \lambda$

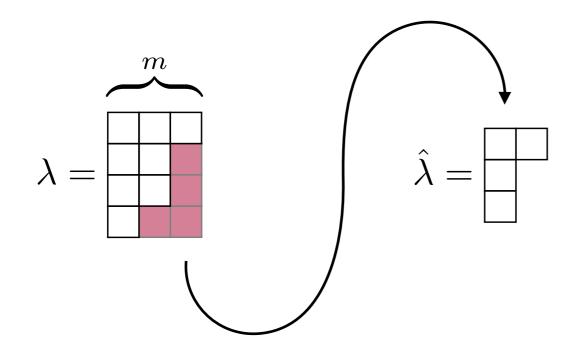
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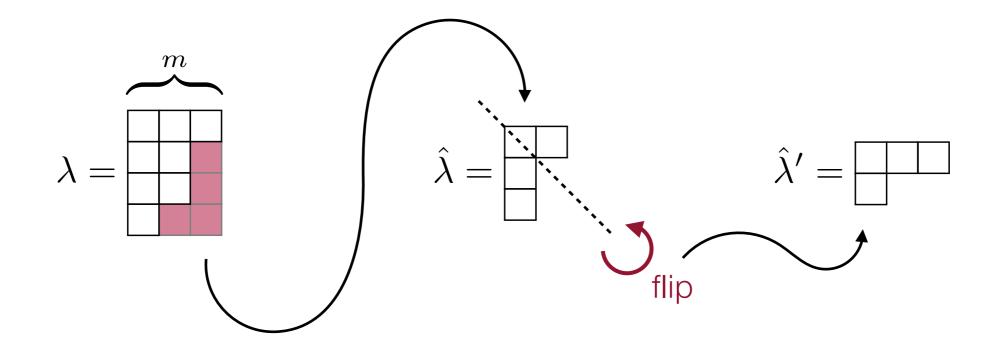
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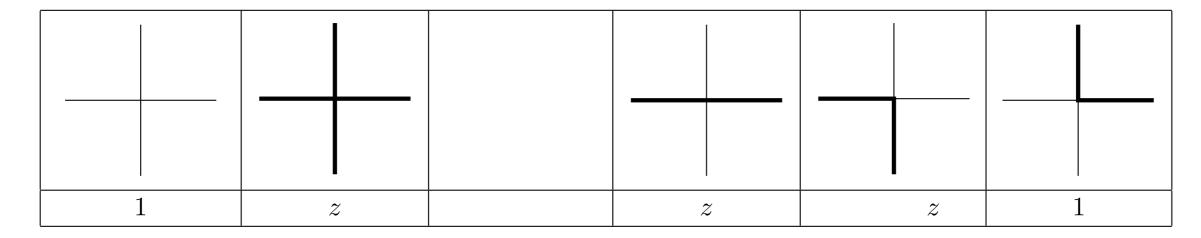


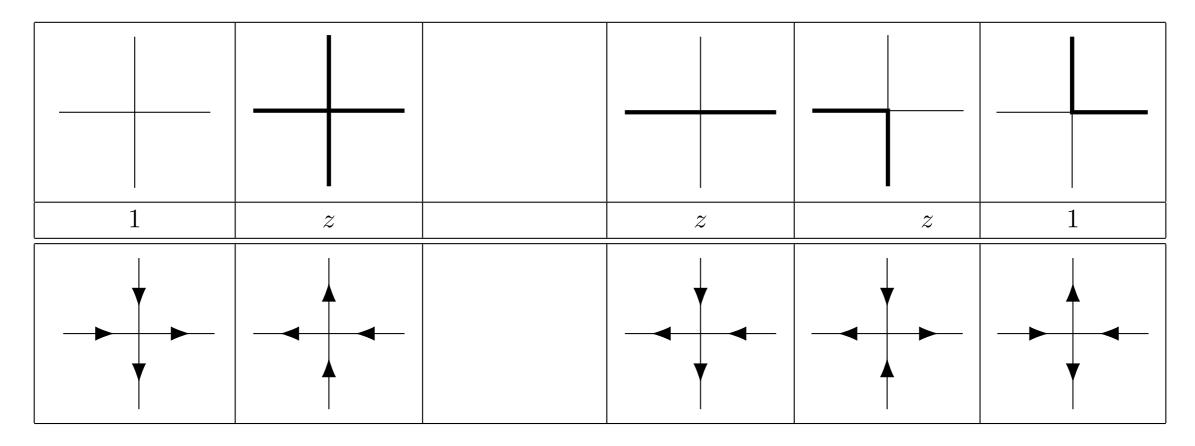
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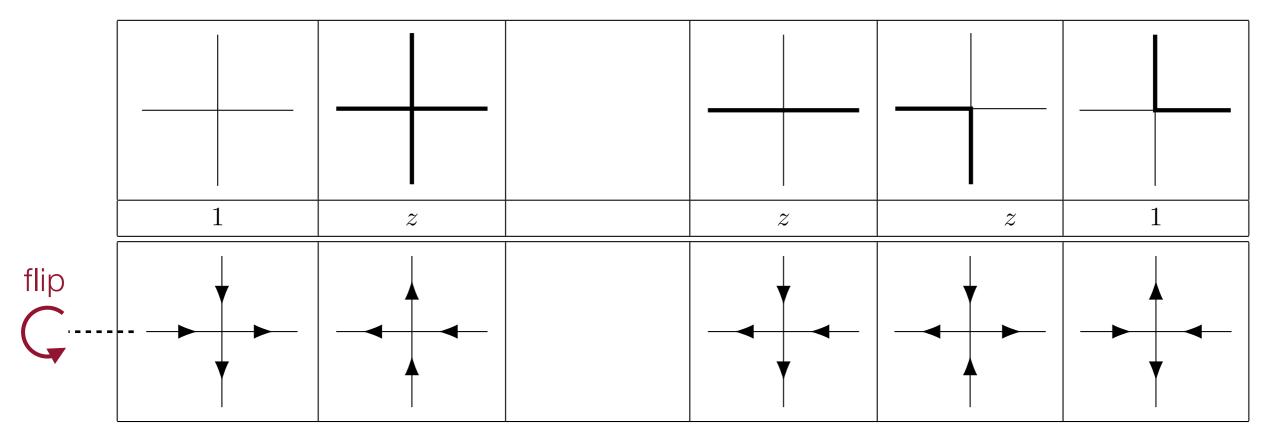
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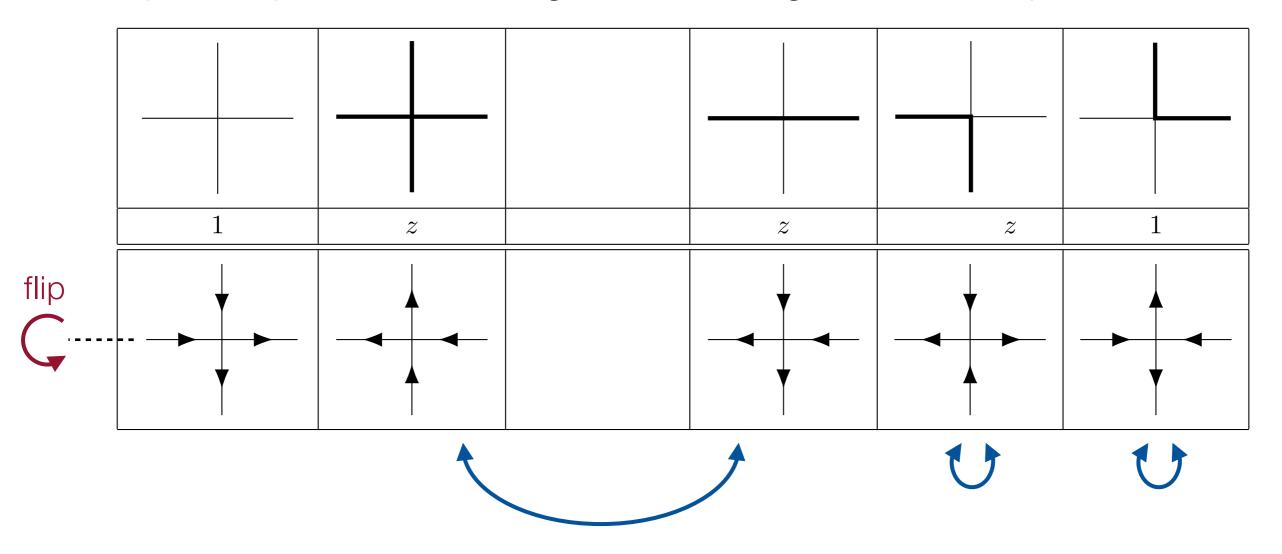
$$\mu' = \text{conjugate of } \mu$$

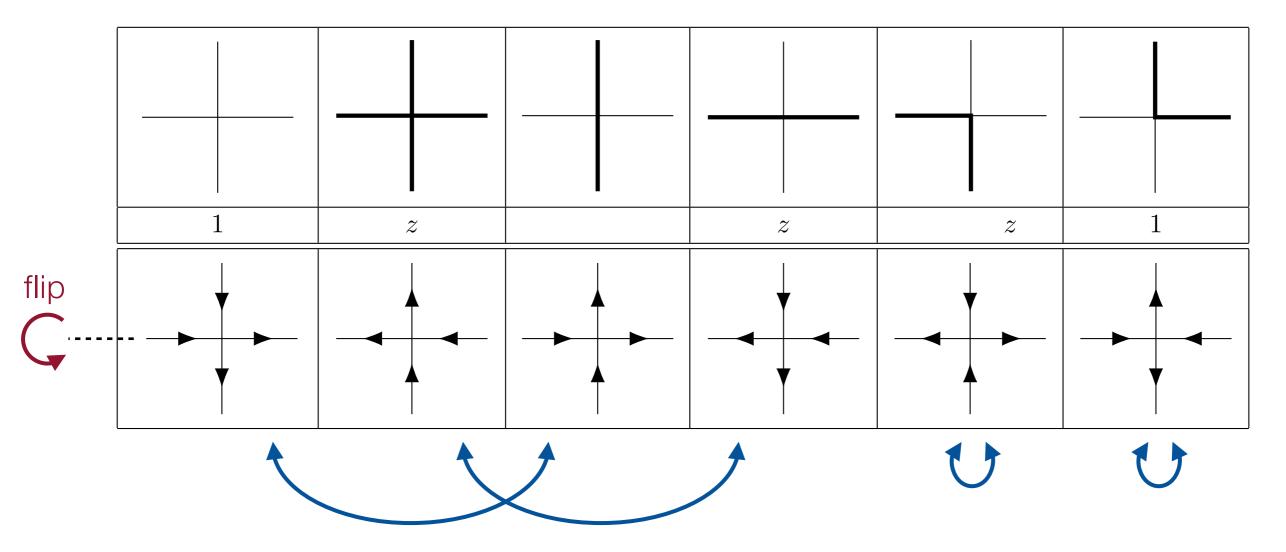


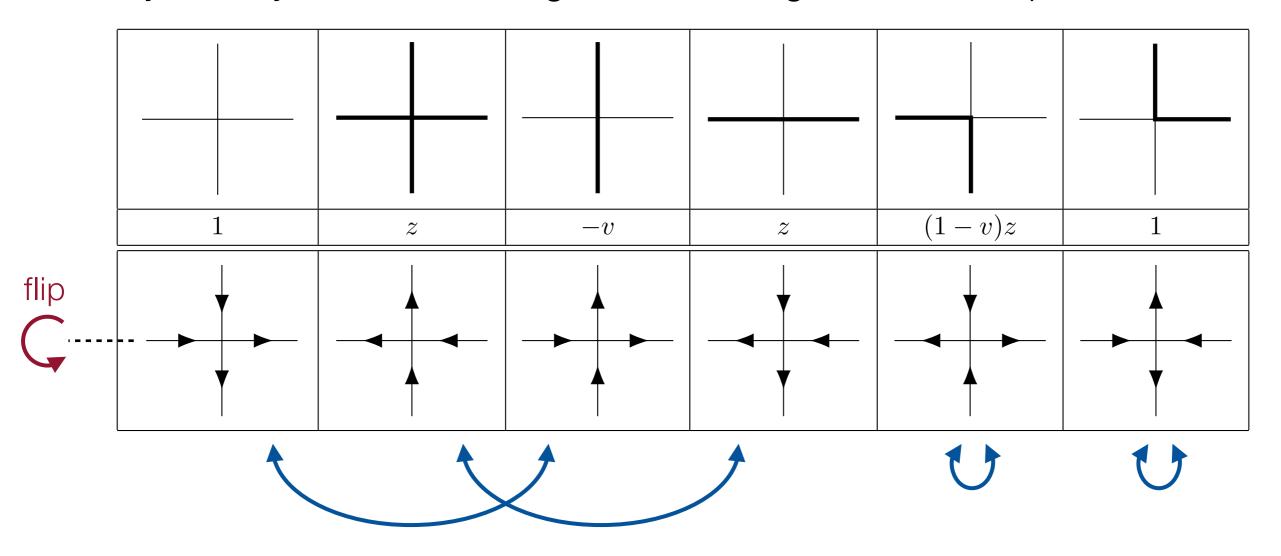




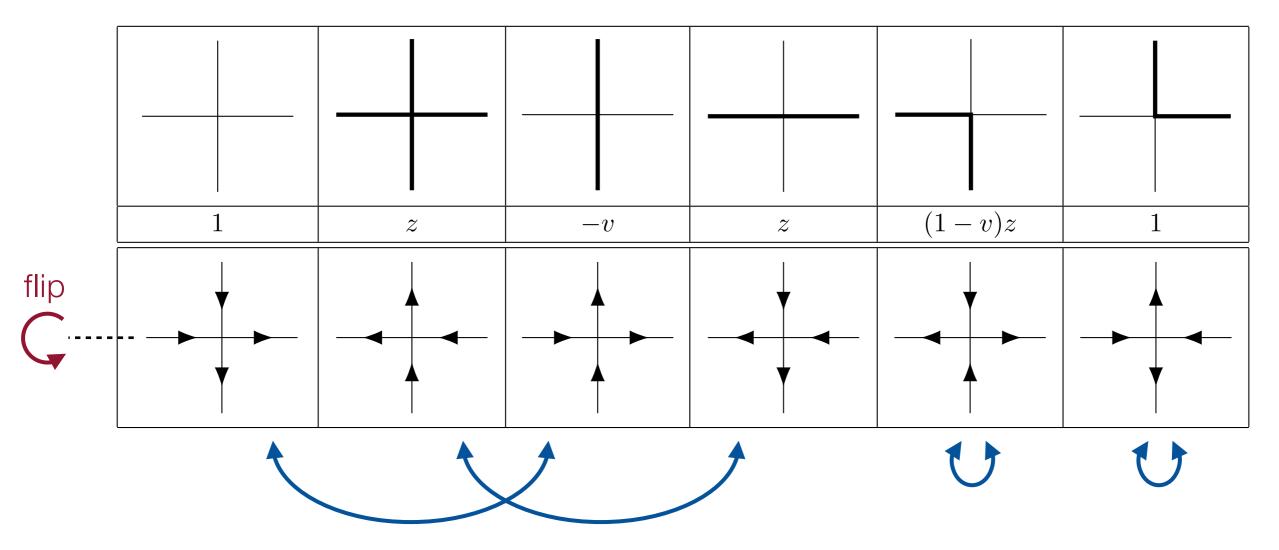




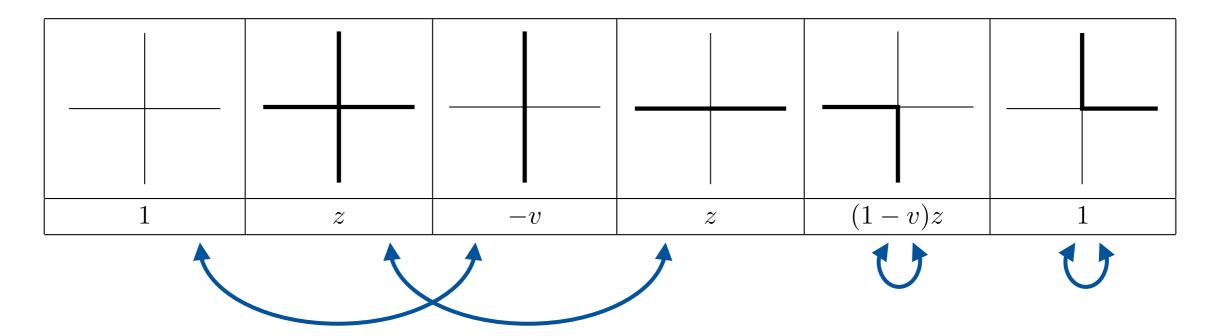




Symmetry of vertex configurations using arrow description



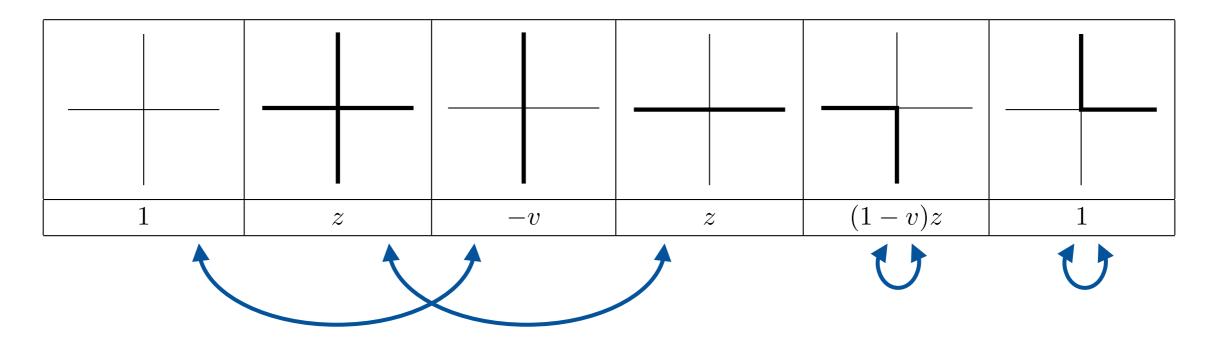
Weights are adjusted for solvability (to satisfy Yang-Baxter equation).



These new weights introduce a slight deformation of the partition function

$$Z(\lambda; \mathbf{z}) = \mathbf{z}^{\rho} \prod_{i < j} (1 - v \frac{z_j}{z_i}) s_{\lambda}(\mathbf{z})$$

[Tokuyama 1988, Hammel-King 2007, Brubaker-Bump-Friedberg 2009]

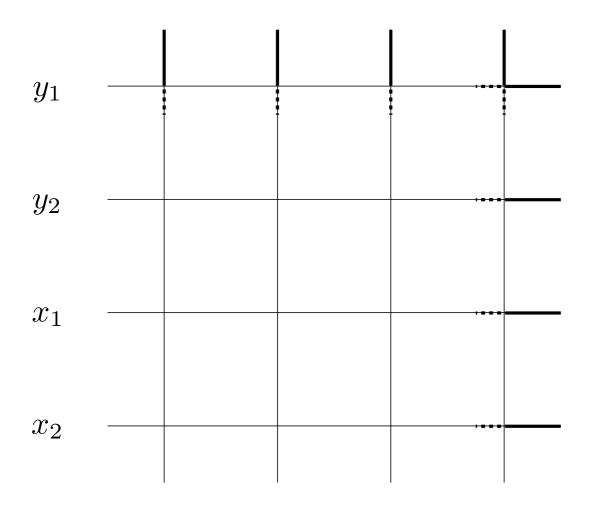


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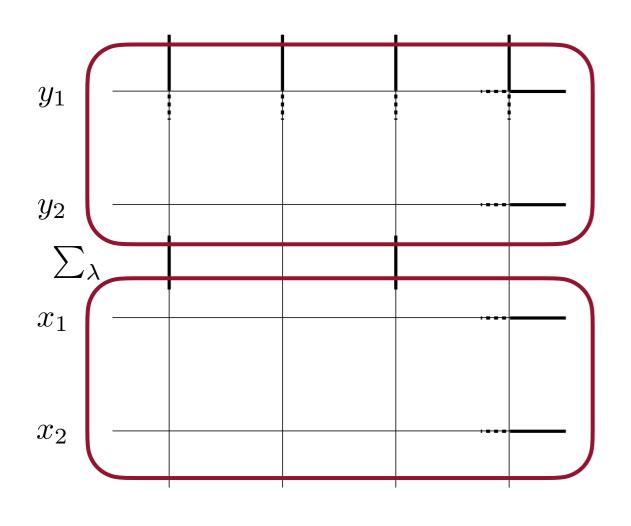
[Tokuyama 1988, Hammel-King 2007, Brubaker-Bump-Friedberg 2009]

If v = -1 then a flip preserves the Boltzmann weight of the state.



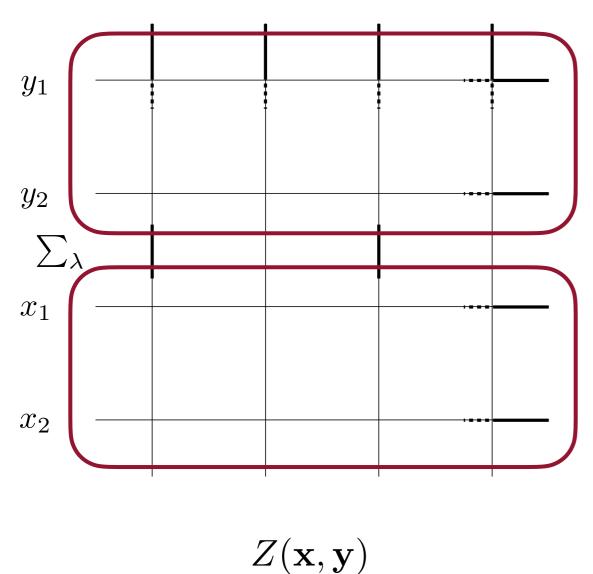
The identity is obtained by splitting up a large lattice model into two pieces

$$Z(\mathbf{x}, \mathbf{y})$$

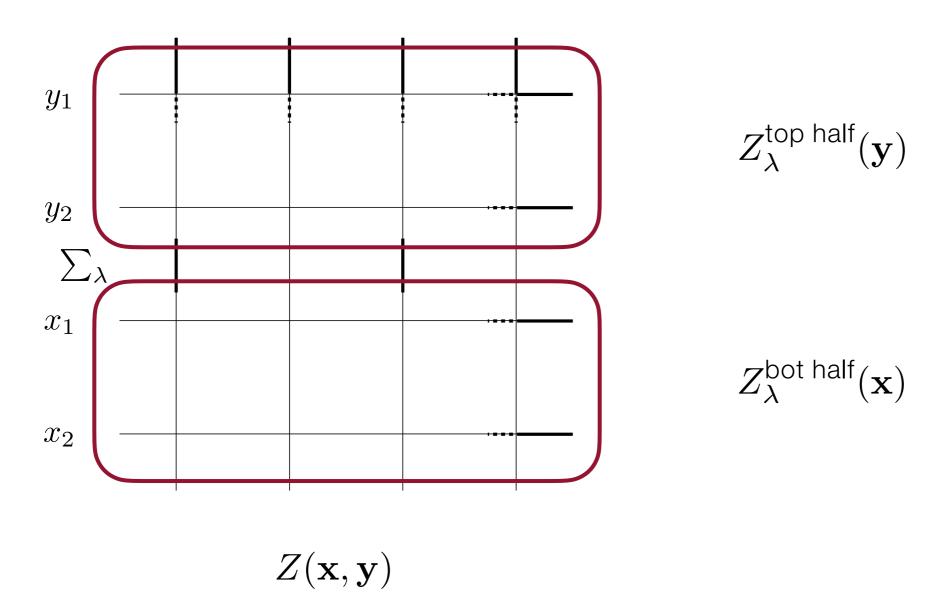


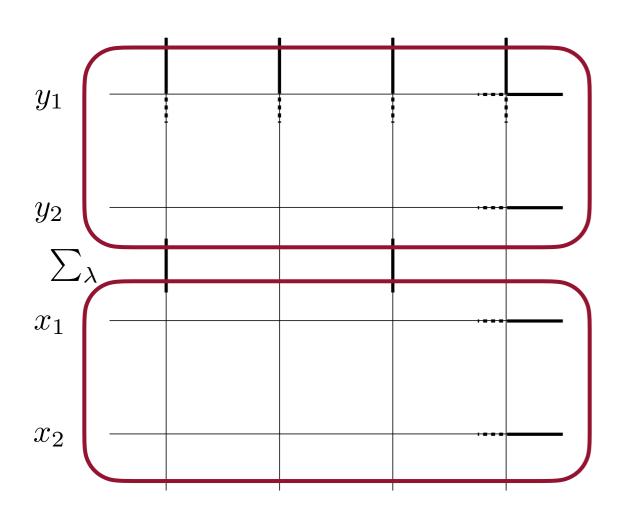
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$$Z_{\lambda}^{ ext{top half}}(\mathbf{y})$$

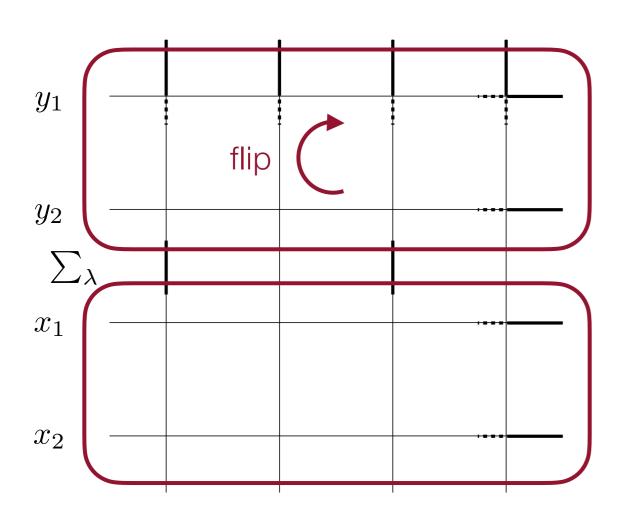




$$Z_{\lambda}^{ ext{top half}}(\mathbf{y})$$

$$Z_{\lambda}^{\mathrm{bot\;half}}(\mathbf{x})$$

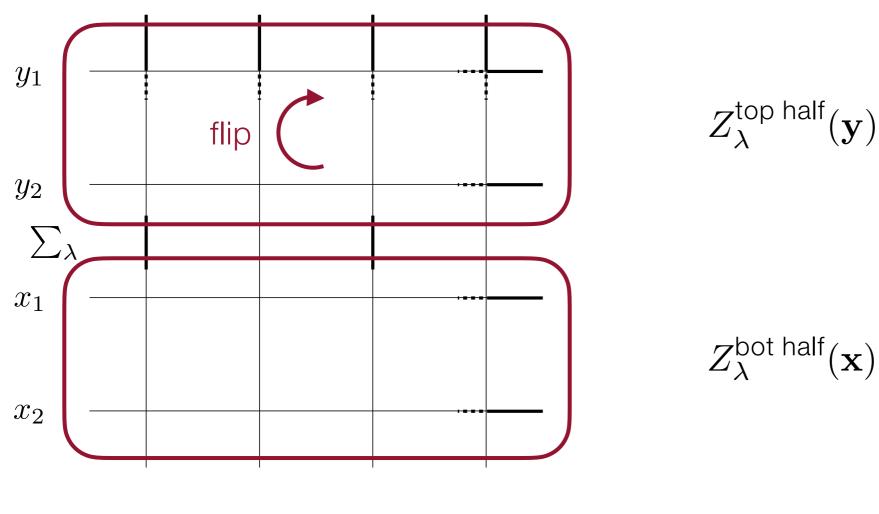
$$Z(\mathbf{x}, \mathbf{y}) = \sum_{\lambda} Z_{\lambda}^{\text{bot half}}(\mathbf{x}) \cdot Z_{\lambda}^{\text{top half}}(\mathbf{y})$$



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$$(v = -1) \downarrow \qquad \qquad flip$$

$$\prod_{i=1}^{n} \prod_{j=1}^{m} (x_i + y_j) = \sum_{\lambda} s_{\lambda}(\mathbf{x}) \cdot s_{\hat{\lambda}'}(\mathbf{y})$$

[Bump-McNamara-Nakasuji 2014]

Whittaker functions

$$Z(\lambda; \mathbf{z}) = \mathbf{z}^{\rho} \prod_{i < j} (1 - v \frac{z_j}{z_i}) s_{\lambda}(\mathbf{z})$$

is secretly a Whittaker function

Whittaker functions

$$G = \mathrm{GL}_r(\mathbb{Q}_p)$$
 $B = \begin{pmatrix} * & \cdots & * \\ & \ddots & \vdots \\ & * \end{pmatrix}$ $N = \begin{pmatrix} 1 & * \\ & \ddots & 1 \end{pmatrix}$ Character $\psi : N \to \mathbb{C}^{\times}$

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$$f \in \pi$$
 $\mathcal{W}_{\psi}(f): g \longmapsto \int_{N} f(w_{0}ng)\psi(n)^{-1}dn$ long Weyl group element

The Whittaker model is unique if it exists [Gelfand-Kazhdan 1972, Rodier 1973].

Whittaker model $\pi \xrightarrow{\sim} \mathcal{W}_{\psi}(\pi) \subset \operatorname{Ind}_{N}^{G}(\psi)$

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$$\mathcal{W}_{\psi}(f_{\mathbf{z}}^{\circ})(p^{\lambda}) = \prod_{i < j} (1 - p^{-1} \frac{z_j}{z_i}) s_{\lambda}(\mathbf{z})$$

[Casselman 1980, Casselman-Shalika 1980]

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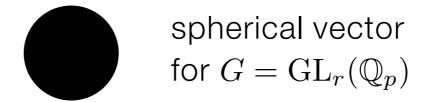
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lacktriangle discrete set of data $\lambda \in \mathbb{Z}^r$

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Lattice models for other Whittaker functions





spherical vector for
$$G = \mathrm{GL}_r(\mathbb{Q}_p)$$



Representation theory refinement Papers 1 & 2



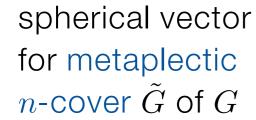
Number theory generalization [Brubaker–Bump–Chinta– Friedberg–Gunnells 2012]



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Representation theory refinement Papers 1 & 2







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Representation theory refinement Papers 1 & 2

spherical vector for metaplectic n-cover \tilde{G} of G





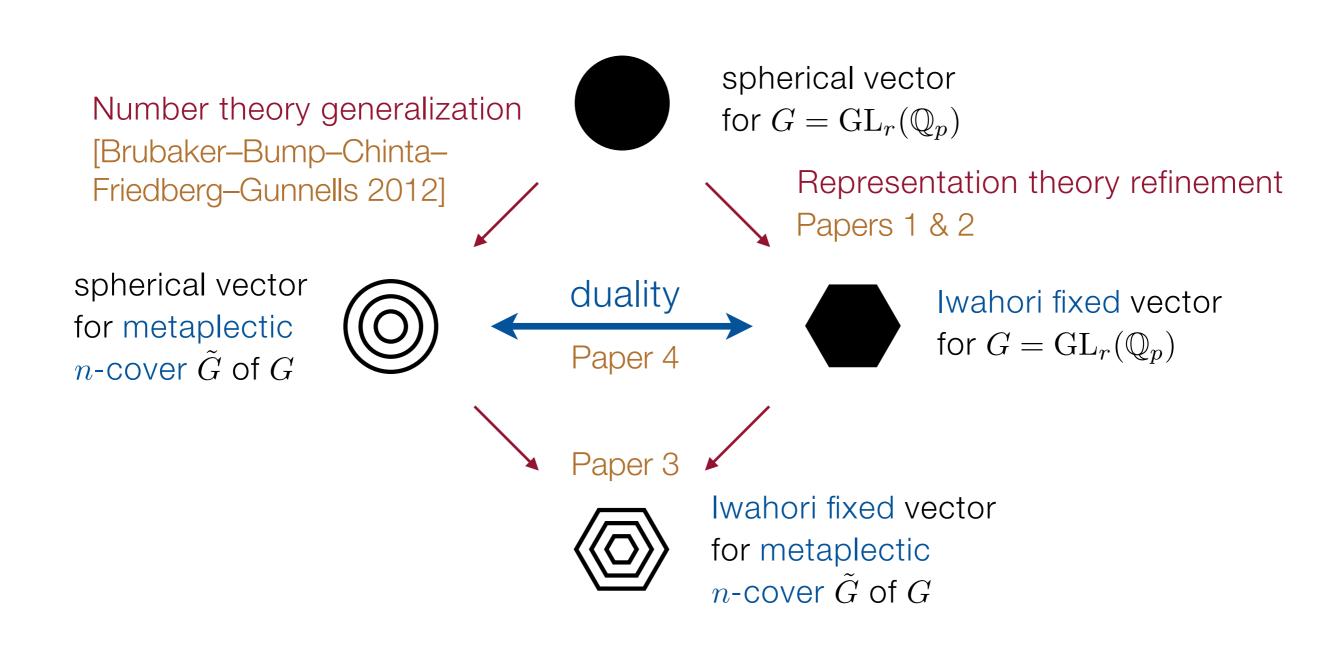
Iwahori fixed vector for $G = \mathrm{GL}_r(\mathbb{Q}_p)$



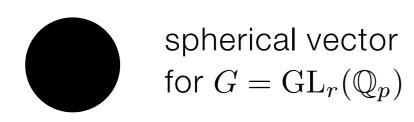


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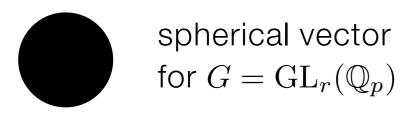
Blue terms will be defined in the next slides



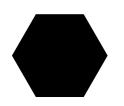
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 for $k \in K := \mathrm{GL}_r(\mathbb{Z}_p)$ maximal compact

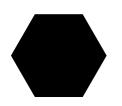


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$$G = BK$$

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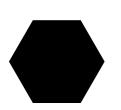
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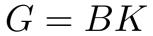
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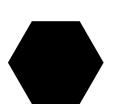
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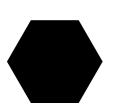
$$f(gk) = f(g)$$
 for $k \in J \equiv B^- \pmod{p} \subset K$ Iwahori subgroup



spherical vector for $G = \mathrm{GL}_r(\mathbb{Q}_p)$

$$f^{\circ}(gk) = f^{\circ}(g)$$
 for $k \in K := \operatorname{GL}_r(\mathbb{Z}_p)$ maximal compact

unique up to normalization



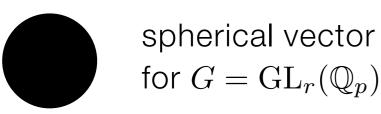
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$$G = BK$$

$$B = \left(\begin{array}{c} * \cdots * \\ \vdots \\ \vdots \\ * \end{array}\right)$$

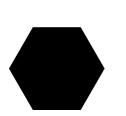
$$B^{-} = \begin{pmatrix} * \\ \vdots \\ * \cdots * \end{pmatrix}$$

$$G = \bigsqcup_{w \in W = S_r} BwJ$$



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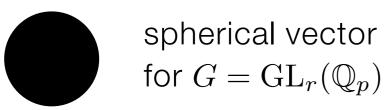
basis enumerated by $W = S_r$

$$G = BK$$

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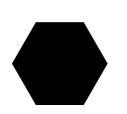
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Refinement: $f_{\mathbf{z}}^{\circ} = \sum_{w \in W} f_{\mathbf{z}}^{(w)}$ each supported only on BwJ

On the lattice model side this refinement corresponds to assigning a different color to each path, making them distinct.

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Paper 2 (6-vertex; $v \neq 0$) spherical Whittaker function

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Iwahori Whittaker functions

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Paper 1 (5-vertex; v = 0) Paper 2 (6-vertex; $v \neq 0$)

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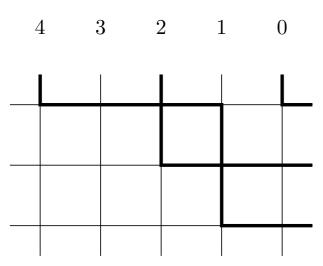
Duplicate colors: Demazure characters Duplicate colors: parahoric Whittaker functions

Demazure atoms

Iwahori Whittaker functions

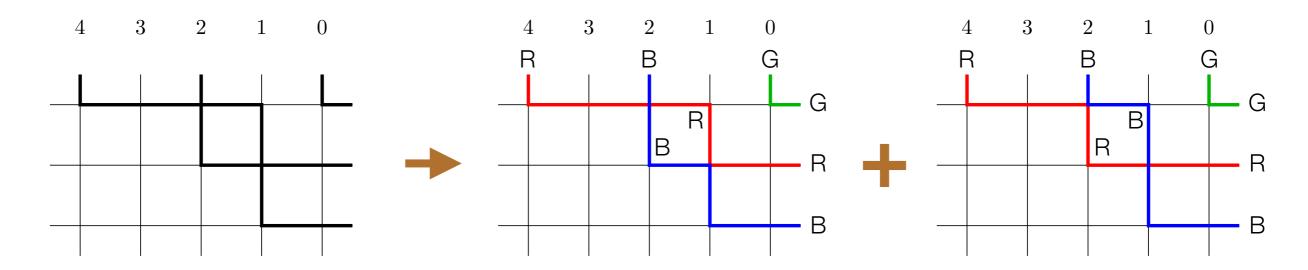
Color refinement

Ordered palette of r colors: R > B > G



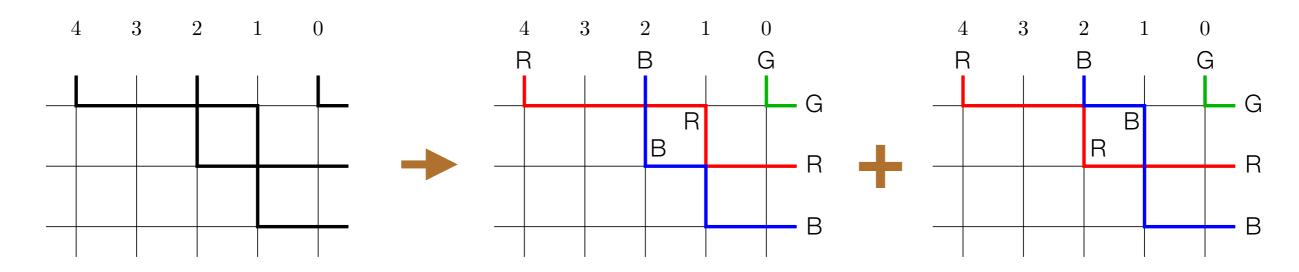
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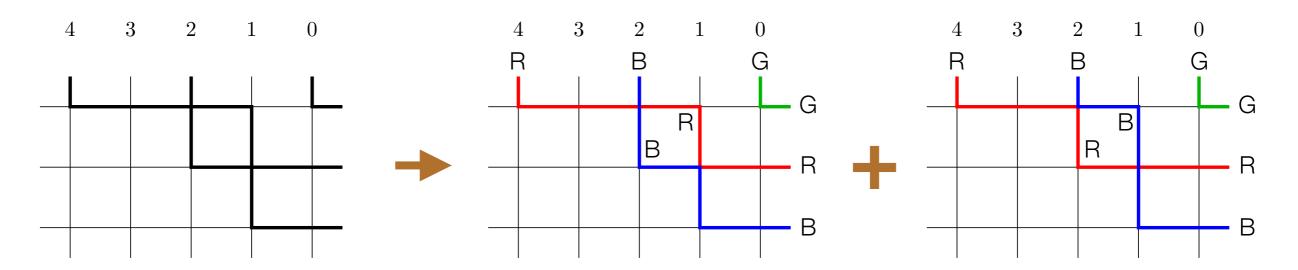
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New right boundary data: permutation $w \in S_r$ of (R, B, G)

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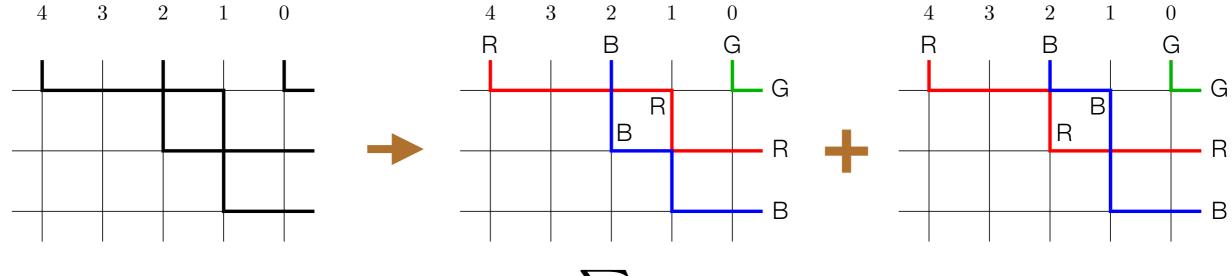


New right boundary data: permutation $w \in S_r$ of (R, B, G)

Have constructed vertex configuration weights such that the partition function is refined to:

uncolored
$$Z(\lambda;\mathbf{z}) = \sum_{w \in S_r} Z(\lambda,w;\mathbf{z}) \quad \text{colored}$$

[Papers 1 & 2] Concept based on [Borodin–Wheeler 2018]



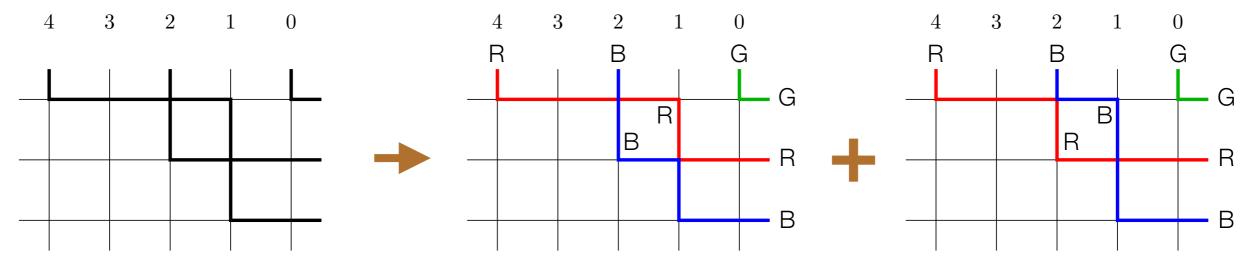
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In more detail:

Paper 1 (5-vertex; v = 0)

Theorem:

 $Z(\lambda, w; \mathbf{z})_{v=0} = \text{Demazure atom}$



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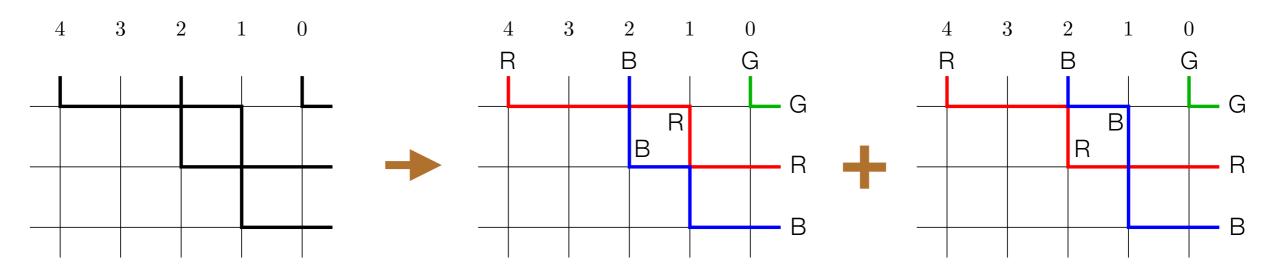
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$$\sum_{w \in S_r} \longrightarrow$$
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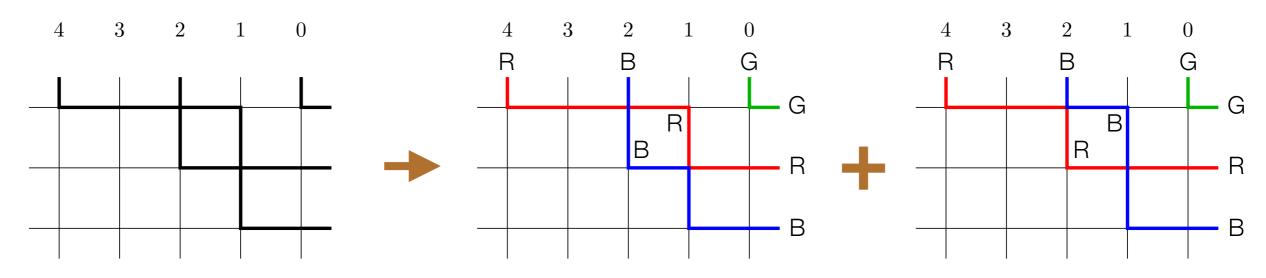
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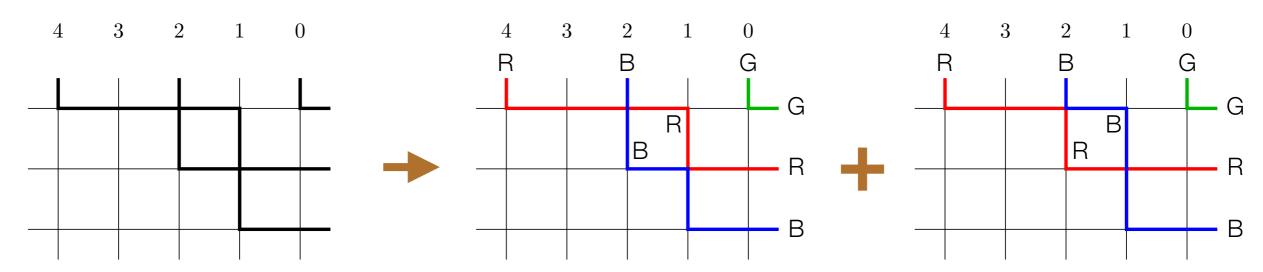
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uncolored
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In more detail:

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Bijection of data

states ←→ crystal Demazure atoms

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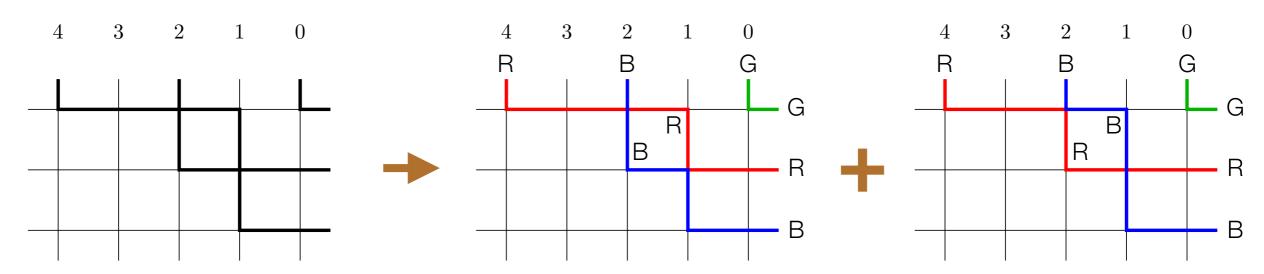
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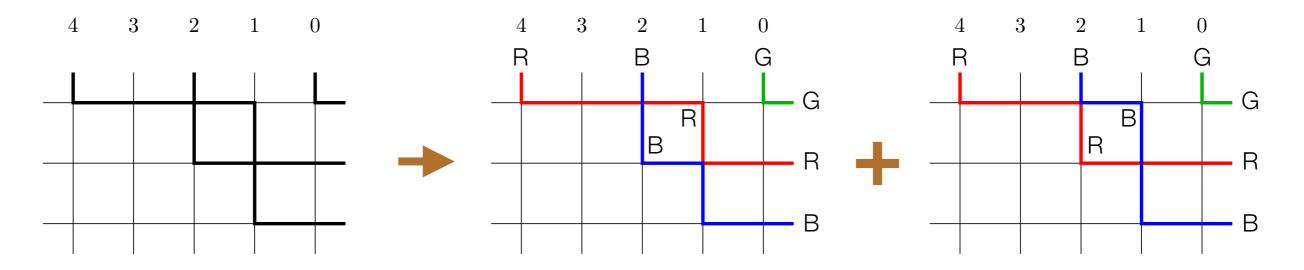
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boundary data \longleftrightarrow Whittaker data

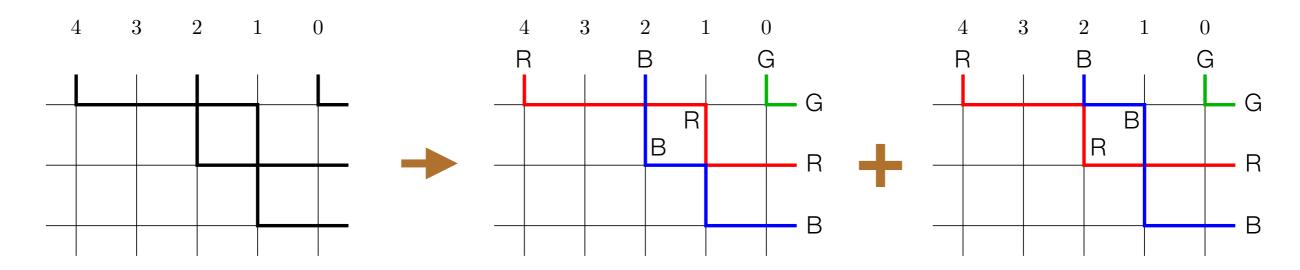
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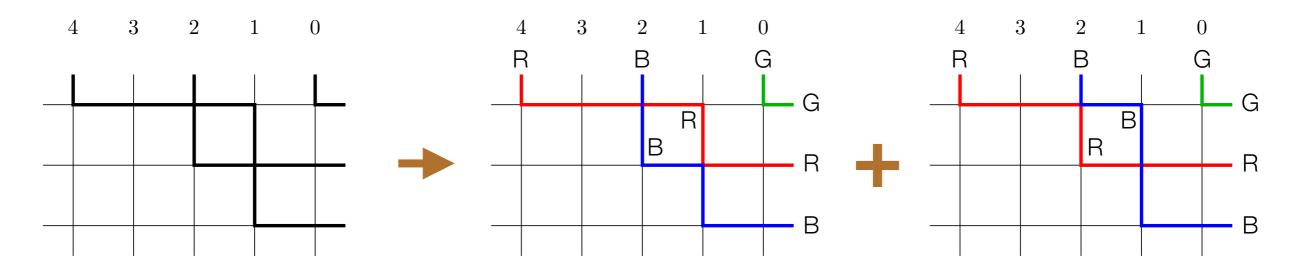


Extra structure --> Partition function from recurrence relations



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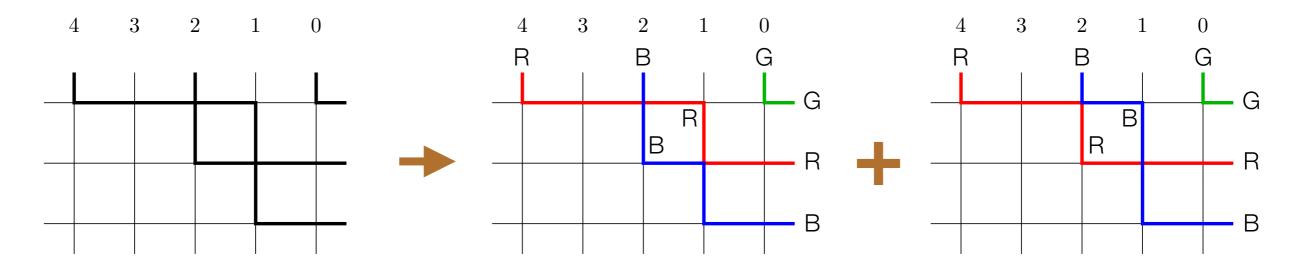
Solvability via Yang-Baxter equations from underlying quantum group



Extra structure --> Partition function from recurrence relations

Solvability via Yang-Baxter equations from underlying quantum group

Theorem: [Papers 1, 2] $Z(\lambda, s_{i_1} \cdots s_{i_r}; \mathbf{z}) = T_{i_1} \cdots T_{i_r} \mathbf{z}^{\lambda+\rho}$



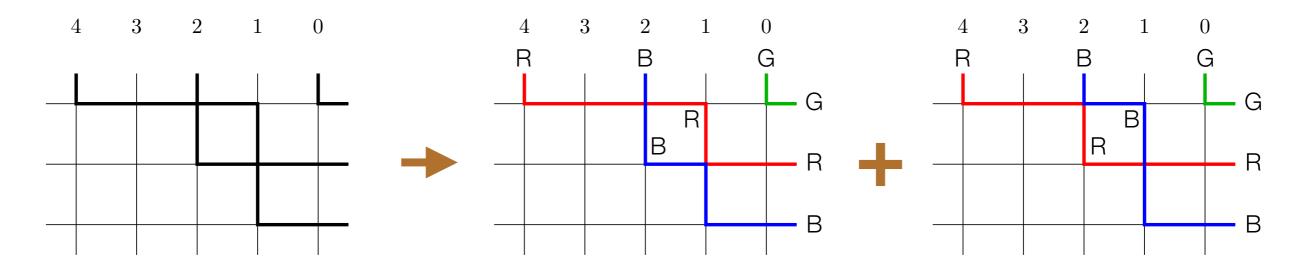
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Divided difference Demazure operators

$$T_i f(\mathbf{z}) = \frac{\mathbf{z}^{\alpha_i} - v}{1 - \mathbf{z}^{\alpha_i}} f(s_i \mathbf{z}) + \frac{v - 1}{1 - \mathbf{z}^{\alpha_i}} f(\mathbf{z})$$



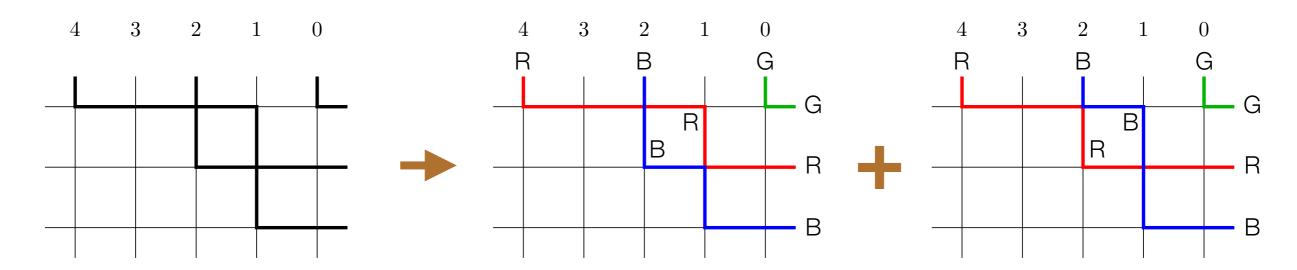
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Same relations as for Whittaker functions in [Brubaker-Bump-Licata 2015] (non-metaplectic)



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Solvability via Yang-Baxter equations from underlying quantum group

and 3 (metaplectic)

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Divided difference Demazure operators —

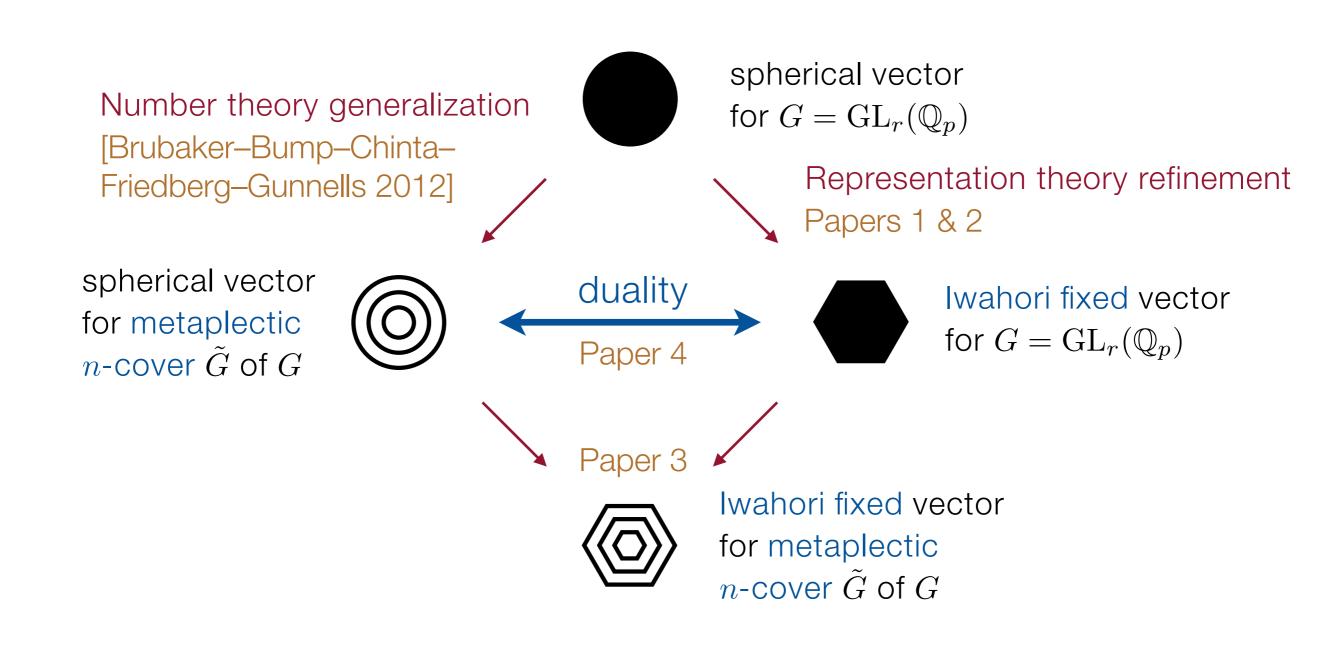
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[Chinta-Gunnells-Puskás 2017, Patnaik-Puskás 2017] (metaplectic)

Metaplectic groups

Metaplectic groups



Blue terms will be defined in the next slides

$$1 \longrightarrow \langle e^{2\pi i/n} \rangle \longrightarrow \tilde{G} \xrightarrow{\text{proj}} G \longrightarrow 1$$





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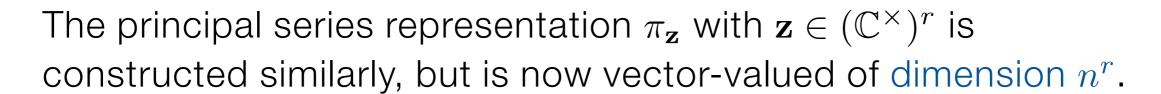
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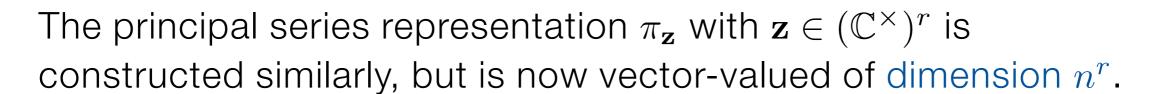
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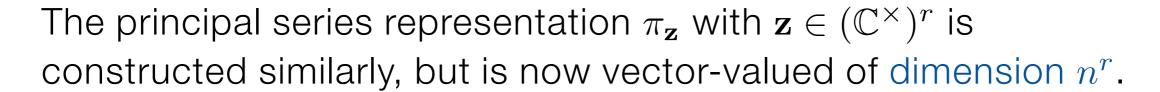


$$T = \begin{pmatrix} * \\ & \cdot \\ & * \end{pmatrix} \subset G$$
 abelian \longrightarrow its irreps are 1-dimensional



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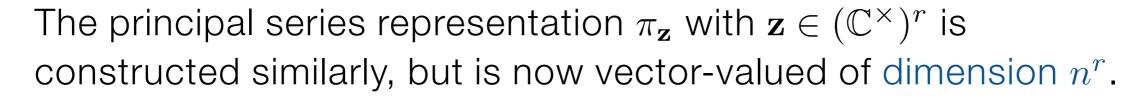
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$$\tilde{T}:=\mathrm{proj}^{-1}(T)$$
 not abelian \tilde{T}/max abelian $\cong \Lambda/n\Lambda\cong (\mathbb{Z}/n\mathbb{Z})^r$ weight lattice

The metaplectic n-cover \tilde{G} of G is a central extension:

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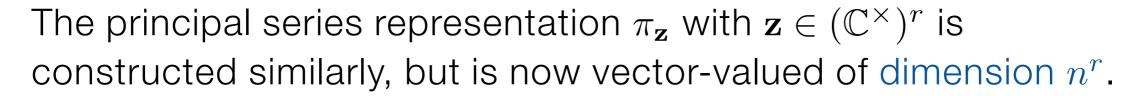
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Whittaker module no longer unique; project to component $\sigma \in (\mathbb{Z}/n\mathbb{Z})^n$.

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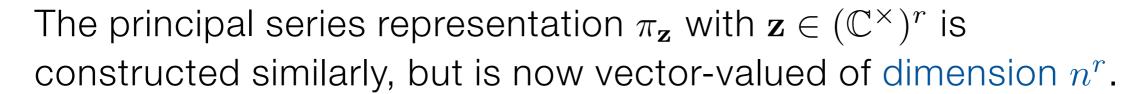
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Often, (e.g. [Chinta-Gunnells-Puskás 2017, Patnaik-Puskás 2017, McNamara 2016, Sahi-Stokman-Venkateswaran 2021]) the σ -average is considered.

Spherical Whittaker functions are local components of Fourier-Whittaker coefficients of global spherical automorphic forms.

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Fourier coefficient =
$$\prod_{p \leqslant \infty}$$
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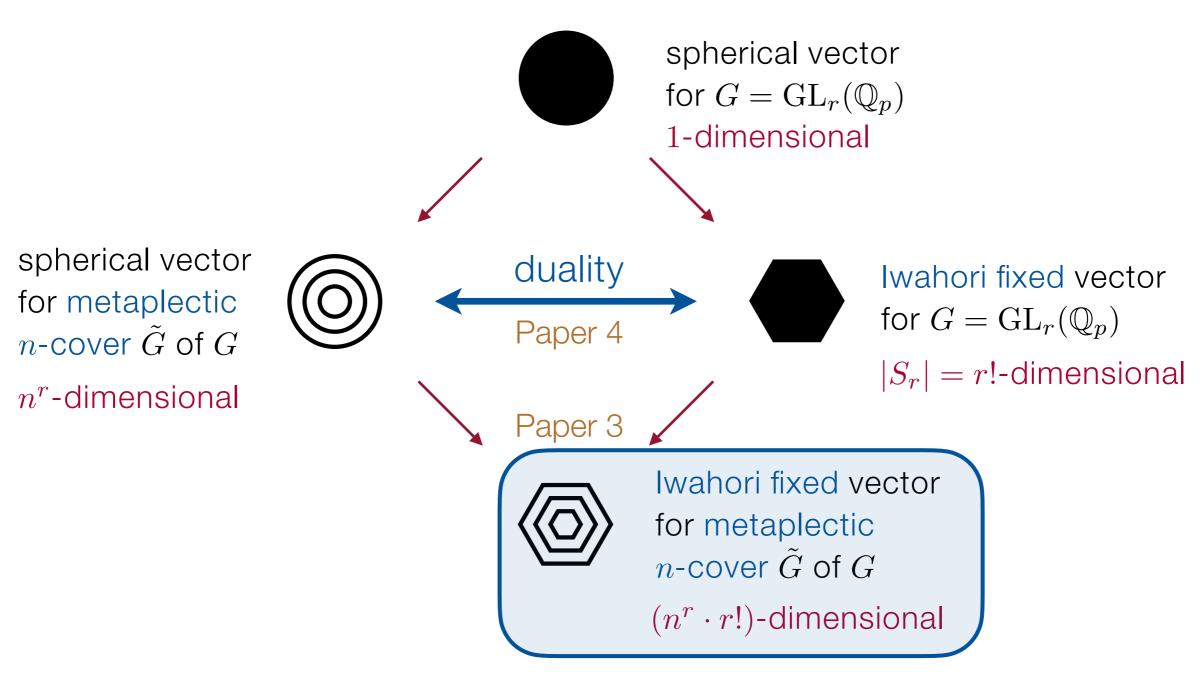
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Used for constructing Weyl group multiple Dirichlet series which have, together with their applications to L-functions, been studied extensively in:

Kubota 1969, Bump-Friedberg-Hoffstein 1996, Diaconu-Goldfeld-Hoffstein 2003, Friedberg-Hoffstein-Lieman 2003, Brubaker-Bump-Chinta-Friedberg-Hoffstein 2006, Brubaker-Bump 2006, Chinta-Gunnells 2009, Brubaker-Bump-Friedberg 2011, ...

Metaplectic groups



Includes the others as subcases

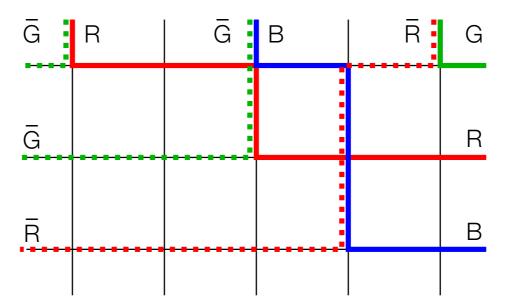
Two sets of r paths assigned colors from two different palettes:

- r colors distinct south-east moving paths
- *n* supercolors south-west moving paths (dotted)

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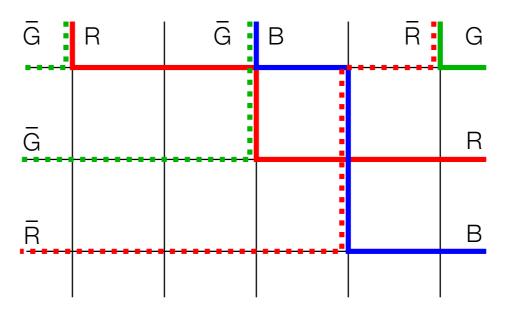
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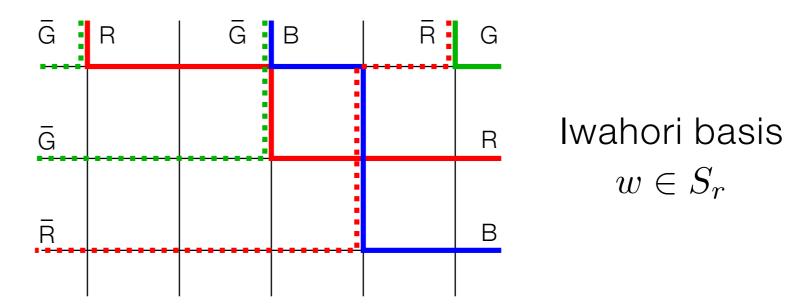
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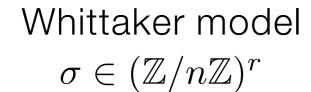
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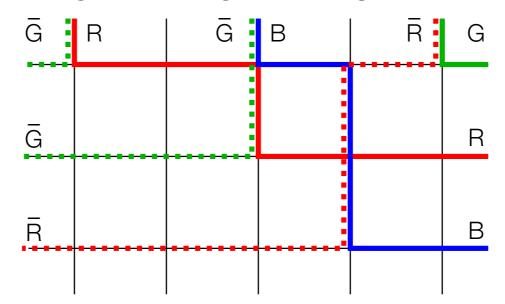
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group argument $g = p^{\lambda}$





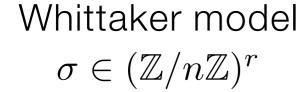
Iwahori basis $w \in S_r$

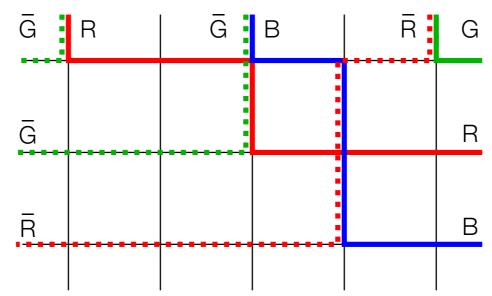
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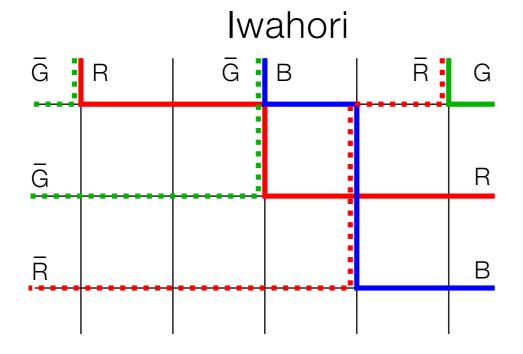


Iwahori basis $w \in S_r$

Theorem: [Paper 3]

 $Z(\lambda, w, \sigma; \mathbf{z}) = \text{metaplectic Iwahori Whittaker function } \mathcal{W}^{\sigma}_{\psi}(f_{\mathbf{z}}^{(w)})(p^{\lambda})$

Bijection: boundary data \longleftrightarrow Whittaker function data



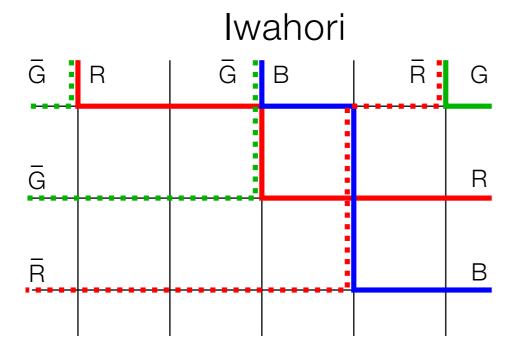
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Bijection: boundary data \longleftrightarrow Whittaker function data

Theorem: [Papers 2 & 3]

Summing over color permutations $w \longleftrightarrow$ equating colors



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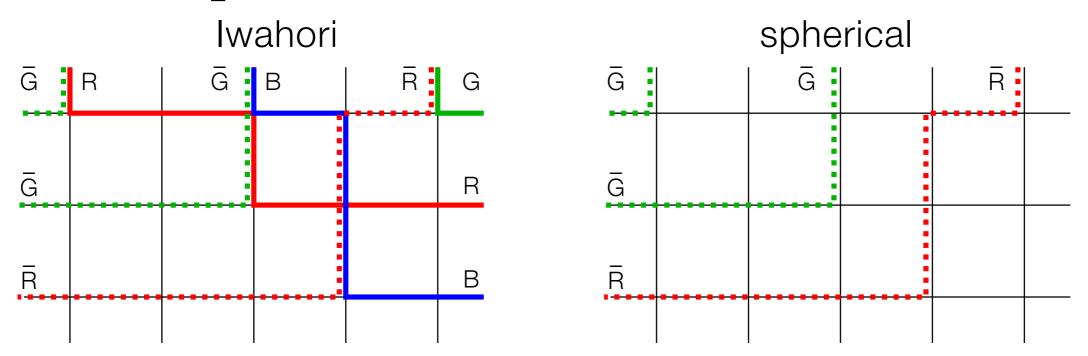
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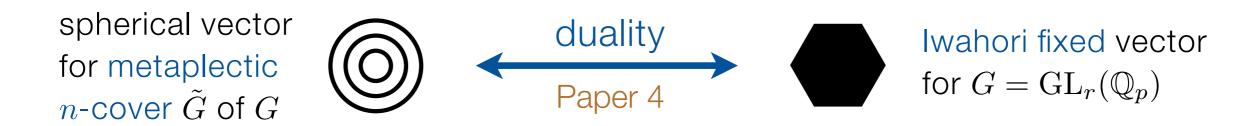
spherical vector for metaplectic n-cover \tilde{G} of G



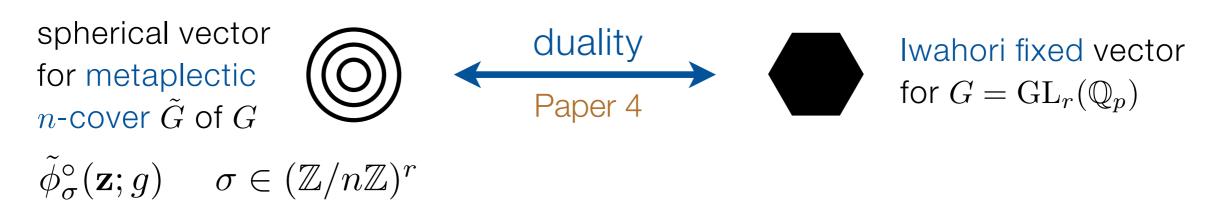




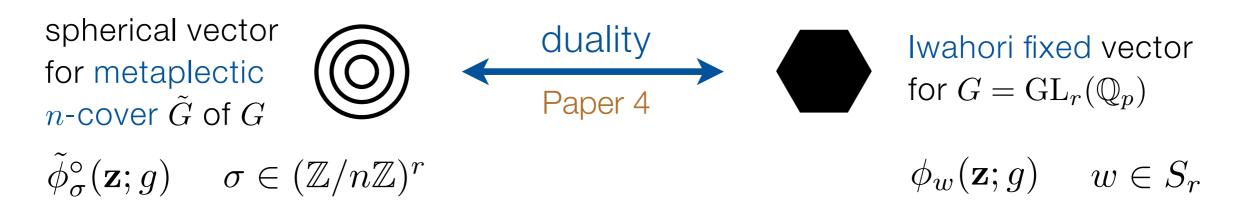
Iwahori fixed vector for $G = \mathrm{GL}_r(\mathbb{Q}_p)$



Theorem: Their associated lattice models are part of a parametric family of lattice models related by so-called Drinfeld twists of the underlying quantum group.



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spherical vector for metaplectic n-cover \tilde{G} of GPaper 4

Iwahori fixed vector for $G = \operatorname{GL}_r(\mathbb{Q}_p)$ $\phi_w(\mathbf{z};g) \quad \sigma \in (\mathbb{Z}/n\mathbb{Z})^r$ $\phi_w(\mathbf{z};g) \quad w \in S_r$

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If the parts of σ are distinct then $\tilde{\phi}_{\sigma}^{\circ}(\mathbf{z};g) = (\text{Gauss sums}) \cdot \phi_w(\mathbf{z}^n;g')$.

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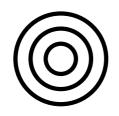
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Conjecture: in general $\tilde{\phi}^{\circ}_{\sigma}(\mathbf{z};g)\approx$ (non-metaplectic parahoric Whittaker function) with more complicated insertions of Gauss sums.

spherical vector for metaplectic n-cover \tilde{G} of G

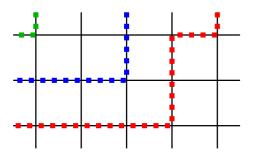






Iwahori fixed vector for $G = \mathrm{GL}_r(\mathbb{Q}_p)$

Idea:



metaplectic spherical

spherical vector for metaplectic n-cover \tilde{G} of G

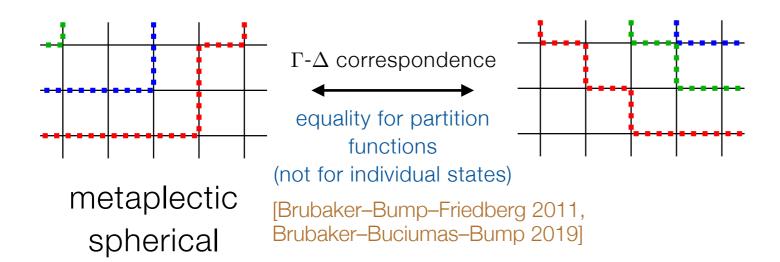






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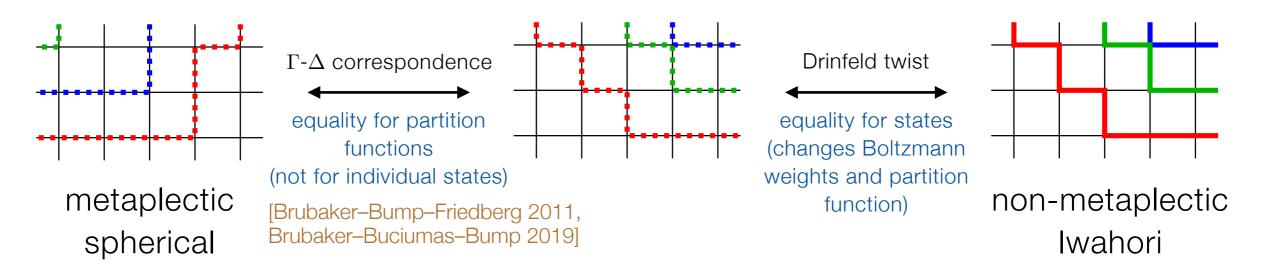






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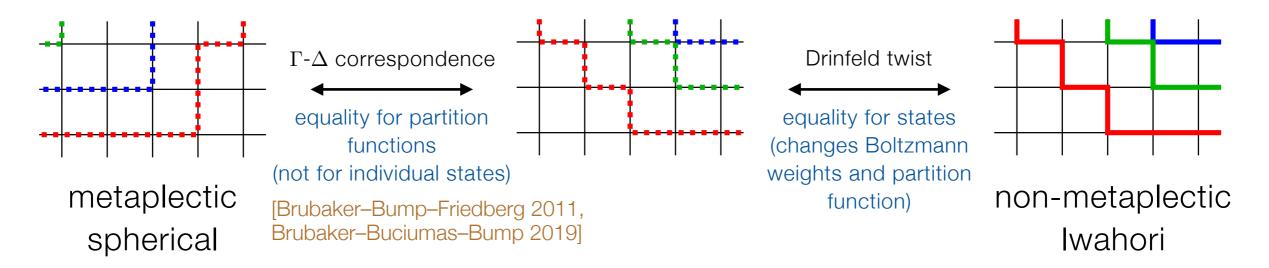






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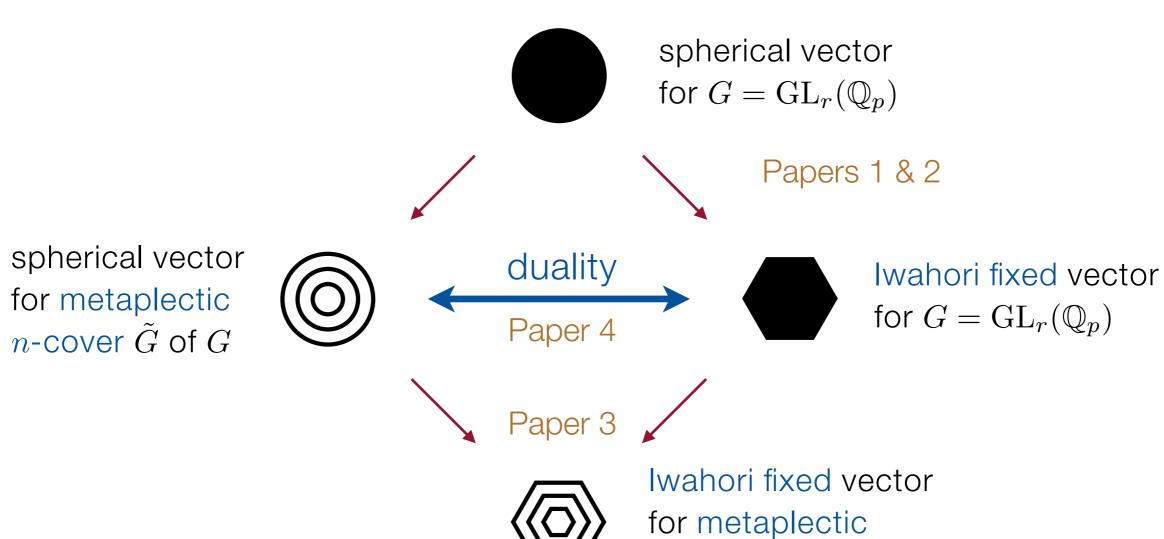


There is also a representation theoretical version using Demazure operators

Summary

partition functions

Whittaker functions



n-cover \tilde{G} of G

Other results

- Cauchy identities for metaplectic spherical Whittaker functions [Paper 4]
- Partition functions as quantum Fock space vertex operators [Paper 0 & 4]
- All values of (metaplectic) Iwahori Whittaker functions (previously only on torus arguments) and showed that any σ -component can easily be extracted from the σ -average) [Papers 2,3]
- Parahoric Whittaker functions are Macdonald polynomials with prescribed symmetry [Paper 2]
- Algorithms for computing Lascoux-Schützenberger keys (of interest in combinatorics) [Paper 1]
- Constructing solvable lattice models via fusion of vertices [Papers 2 & 3]

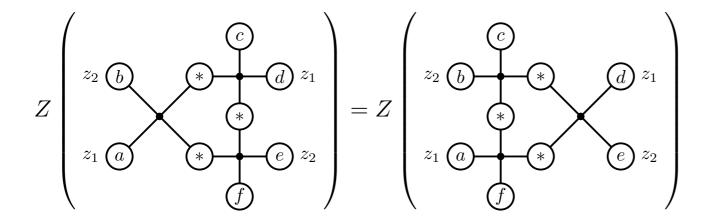


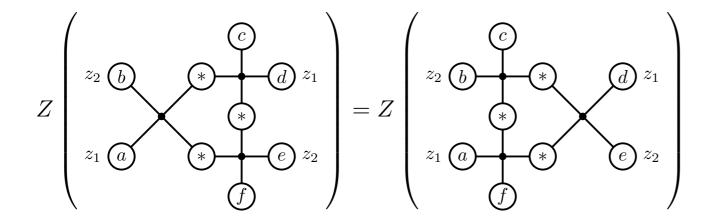
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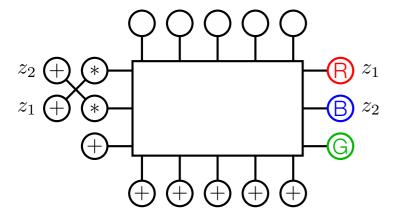
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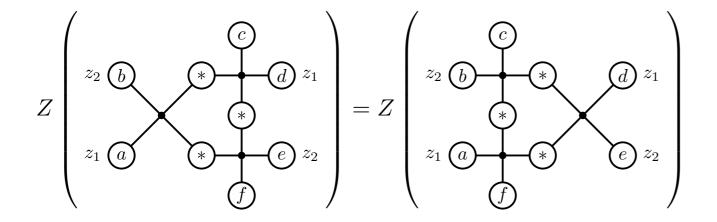
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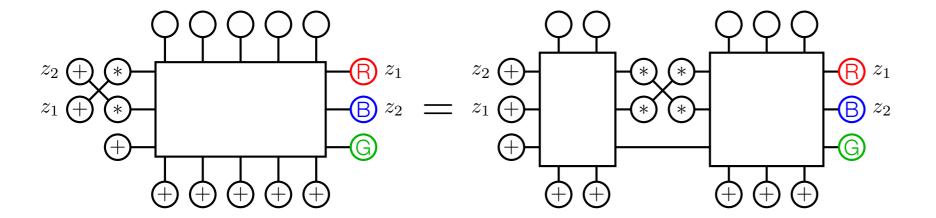


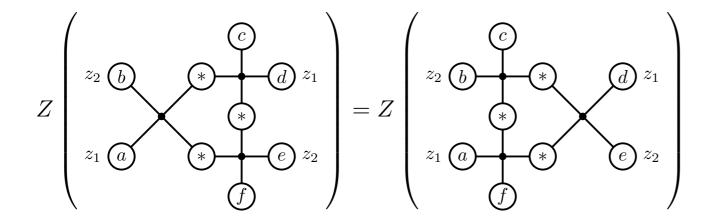


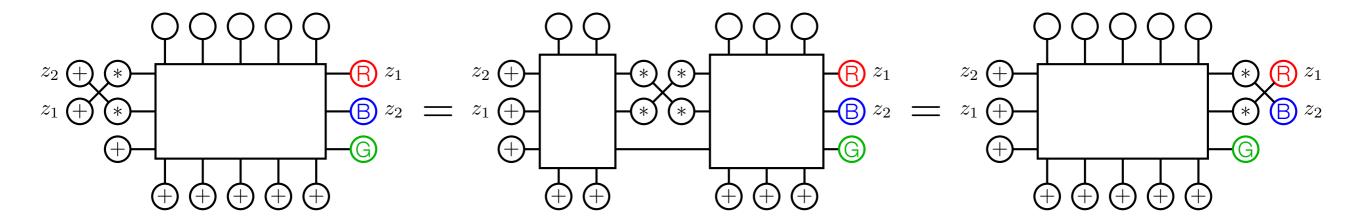


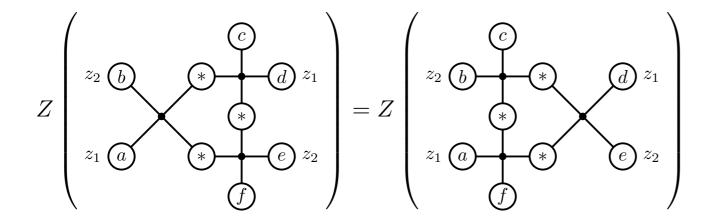


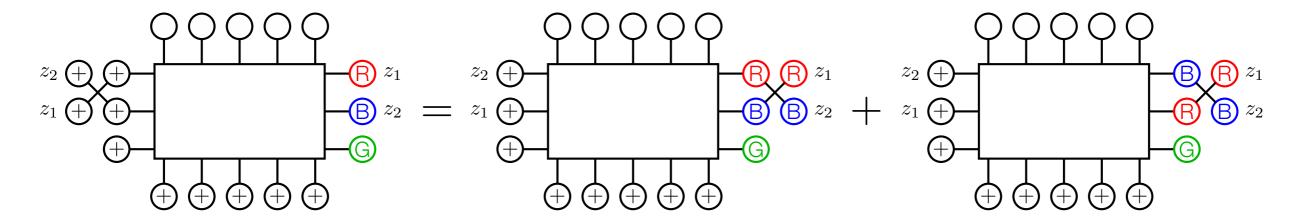


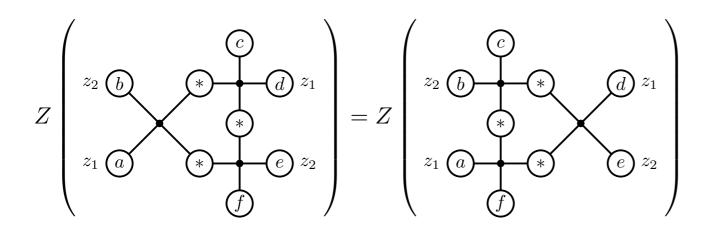




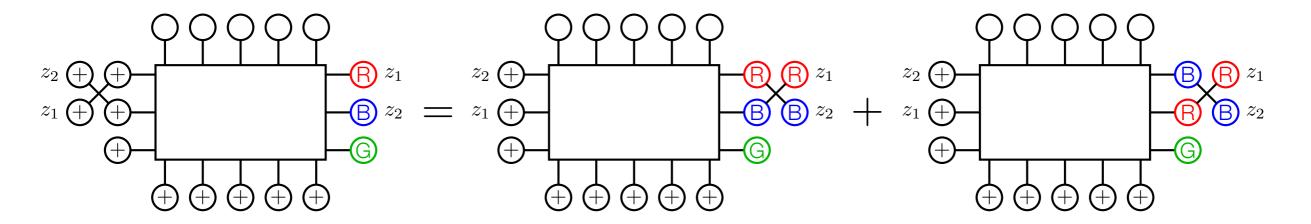


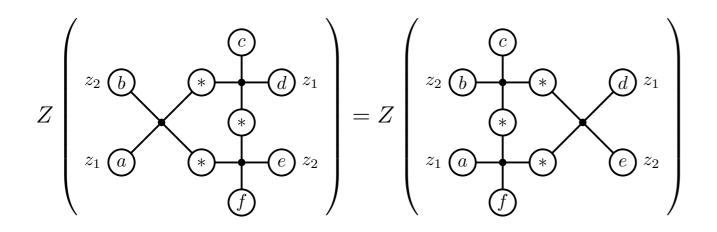


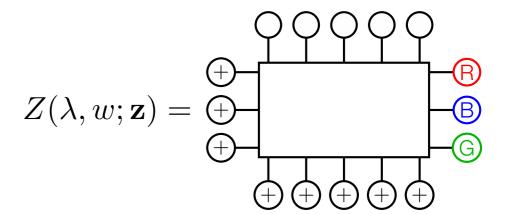


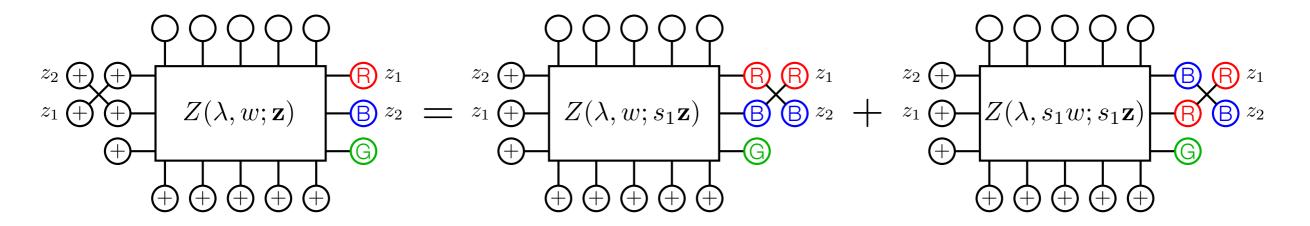


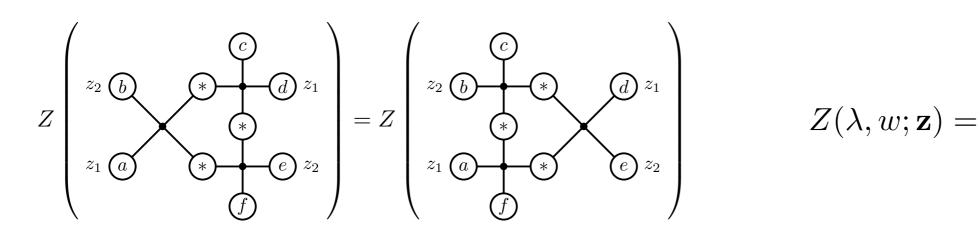
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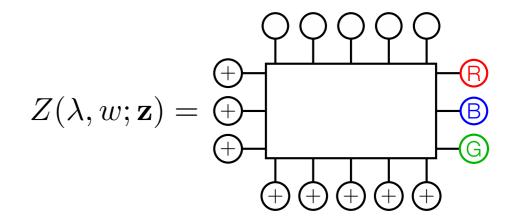




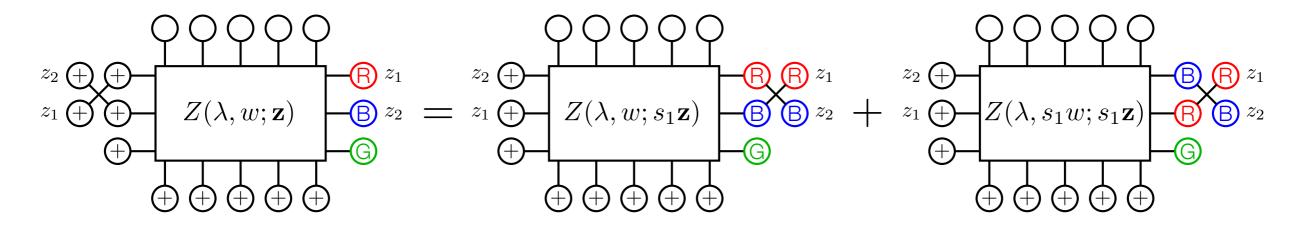




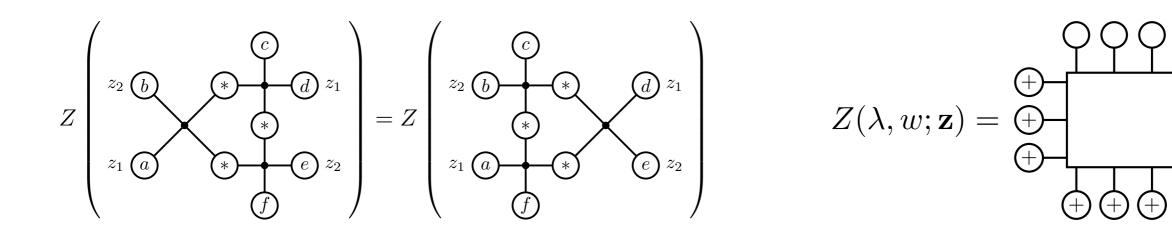




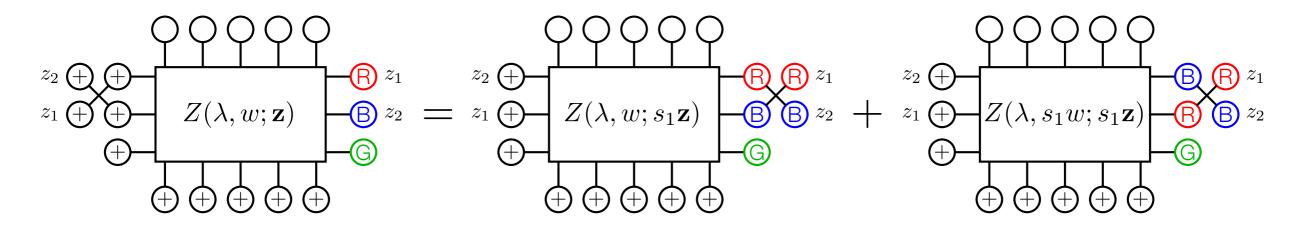
Train argument



We can solve for $Z(\lambda, s_1w; s_1\mathbf{z})$ in terms of $Z(\lambda, w; s_1\mathbf{z})$ and $Z(\lambda, w; \mathbf{z})$



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Recursion relation in terms of Demazure (divided difference) operators

Solutions to the Yang-Baxter equations arise from quantum groups. These are q-deformations of universal enveloping algebras $U(\mathfrak{g})$ of Lie algebras \mathfrak{g} .

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spherical	$U_q(\widehat{\mathfrak{gl}}(1 1))$	$U_qig(\widehat{\mathfrak{gl}}(1 n)ig)$	
Iwahori	$U_q(\widehat{\mathfrak{gl}}(r 1))$	$U_qig(\widehat{\mathfrak{gl}}(r n)ig)$	

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They then automatically satisfy the Yang-Baxter equations.

The module for the vertical edges is not known for any of the lattice models in this talk. The weights had to be constructed, and the Yang-Baxter equations had to be checked by hand.

Special polynomials

Table 2. Relations between different Whittaker functions and associated special polynomials.

Whittaker function

Special polynomial

Spherical Whittaker function Schur polynomial $\sum_{w \in W} \phi_w(\mathbf{z}; \varpi^{-\lambda}) = \prod_{\alpha \in \Lambda^+} (1 - v\mathbf{z}^{-\alpha}) s_{\lambda}(\mathbf{z})$

Li's Whittaker function Hall-Littlewood polynomial $\sum_{w \in W} (-v)^{-\ell(w)} \phi_w(\mathbf{z}; \varpi^{-\lambda}) = \mathbf{z}^{-\rho} P_{\lambda+\rho}(\mathbf{z}, v^{-1})$

Iwahori Whittaker function Non-symmetric Macdonald polynomial $\phi_{w_1}(\mathbf{z}; \varpi^{-\lambda}) = (-v)^{\ell(w)} \mathbf{z}^{-\rho} w_0 E_{w_0 w(\lambda + \rho)}(\mathbf{z}; \infty, v)$

Parahoric Whittaker function Macdonald polynomial with prescribed symmetry $\psi_1^{\mathbf{J}}(\mathbf{z}; \varpi^{-\lambda}) = \mathbf{z}^{-\rho} S_{\lambda+\rho}^{(\emptyset,\mathbf{J})}(\mathbf{z}; 0, v^{-1}) a_{\lambda+\rho}^{(\emptyset,\mathbf{J})}$

